

**FRANCIS XAVIER
ENGINEERING COLLEGE**

**DEPARTMENT OF COMPUTER
SCIENCE AND ENGINEERING**

CS2304

SYSTEM SOFTWARE NOTES

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CS2304 – SYSTEM SOFTWARE

UNIT I INTRODUCTION

8

System software and machine architecture – The Simplified Instructional Computer (SIC)
- Machine architecture - Data and instruction formats - addressing modes - instruction sets - I/O and programming.

UNIT II ASSEMBLERS

10

Basic assembler functions - A simple SIC assembler – Assembler algorithm and data structures - Machine dependent assembler features - Instruction formats and addressing modes – Program relocation - Machine independent assembler features - Literals – Symbol-defining statements – Expressions - One pass assemblers and Multi pass assemblers - Implementation example - MASM assembler.

UNIT III LOADERS AND LINKERS

9

Basic loader functions - Design of an Absolute Loader – A Simple Bootstrap Loader - Machine dependent loader features - Relocation – Program Linking – Algorithm and Data Structures for Linking Loader - Machine-independent loader features - Automatic Library Search – Loader Options - Loader design options - Linkage Editors – Dynamic Linking – Bootstrap Loaders - Implementation example - MSDOS linker.

UNIT IV MACRO PROCESSORS

9

Basic macro processor functions - Macro Definition and Expansion – Macro Processor Algorithm and data structures - Machine-independent macro processor features - Concatenation of Macro Parameters – Generation of Unique Labels – Conditional Macro Expansion – Keyword Macro Parameters-Macro within Macro-Implementation example - MASM Macro Processor – ANSI C Macro language.

TEXT BOOK

1. Leland L. Beck, “System Software – An Introduction to Systems Programming”, 3rd Edition, Pearson Education Asia, 2006.

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1. D. M. Dhamdhere, “Systems Programming and Operating Systems”, Second Revised Edition, Tata McGraw-Hill, 2000.
2. John J. Donovan “Systems Programming”, Tata McGraw-Hill Edition, 2000.

UNIT I

INTRODUCTION

1.1 SYSTEM SOFTWARE AND MACHINE ARCHITECTURE

- System software consists of a variety of programs that support the operation of a computer.
- It is a set of programs to perform a variety of system functions as file editing, resource management, I/O management and storage management.
- The characteristic in which system software differs from application software is machine dependency.
- An application program is primarily concerned with the solution of some problem, using the computer as a tool.
- System programs on the other hand are intended to support the operation and use of the computer itself, rather than any particular application.
- For this reason, they are usually related to the architecture of the machine on which they are run.
- For example, assemblers translate mnemonic instructions into machine code. The instruction formats, addressing modes are of direct concern in assembler design.
- There are some aspects of system software that do not directly depend upon the type of computing system being supported. These are known as machine-independent features.
- For example, the general design and logic of an assembler is basically the same on most computers.

TYPES OF SYSTEM SOFTWARE:

1. Operating system
2. Language translators
 - a. Compilers
 - b. Interpreters
 - c. Assemblers
 - d. Preprocessors
3. Loaders
4. Linkers
5. Macro processors

OPERATING SYSTEM

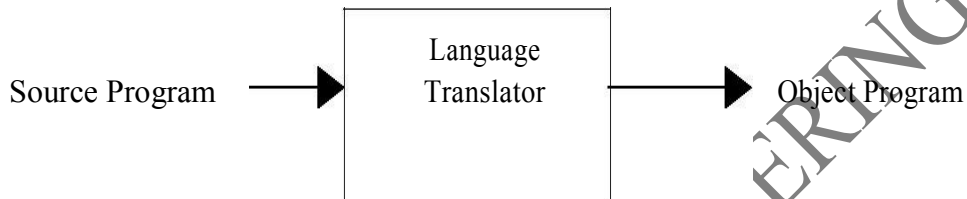
- It is the most important system program that act as an interface between the users and the system. It makes the computer easier to use. It provides an interface that is

more user-friendly than the underlying hardware.

- The functions of OS are:
 1. Process management
 2. Memory management
 3. Resource management
 4. I/O operations
 5. Data management
 6. Providing security to user's job.

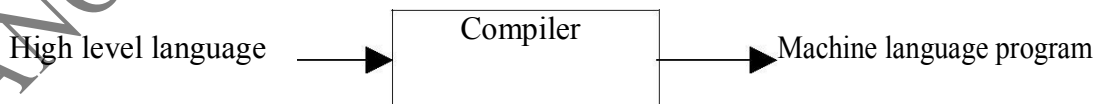
LANGUAGE TRANSLATORS

It is the program that takes an input program in one language and produces an output in another language.



Compilers

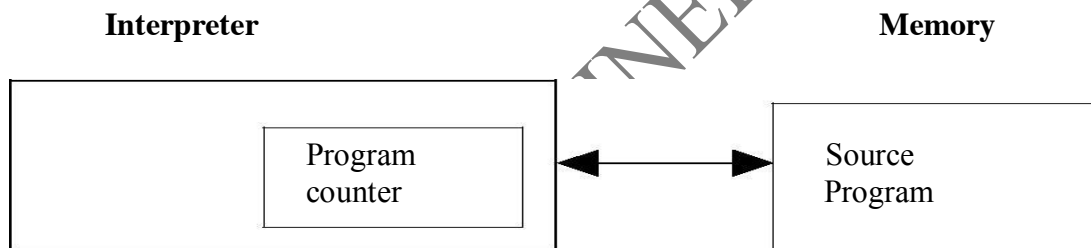
- A compiler is a language program that translates programs written in any high-level language into its equivalent machine language program.
- It bridges the semantic gap between a programming language domain and the execution domain.
- Two aspects of compilation are:
 - o Generate code to increment meaning of a source program in the execution domain.
 - o Provide diagnostics for violation of programming language, semantics in a source program.
- The program instructions are taken as a whole.



Interpreters:

- It is a translator program that translates a statement of high-level language to machine language and executes it immediately. The program instructions are taken line by line.

- The interpreter reads the source program and stores it in memory.
- During interpretation, it takes a source statement, determines its meaning and performs actions which increments it. This includes computational and I/O actions.
- Program counter (PC) indicates which statement of the source program is to be interpreted next. This statement would be subjected to the interpretation cycle.
- The interpretation cycle consists of the following steps:
 - o Fetch the statement.
 - o Analyze the statement and determine its meaning.
 - o Execute the meaning of the statement.
- The following are the characteristics of interpretation:
 - o The source program is retained in the source form itself, no target program exists.
 - o A statement is analyzed during the interpretation.



Assemblers:

- Programmers found it difficult to write or read programs in machine language. In a quest for a convenient language, they began to use a mnemonic (symbol) for each machine instruction which would subsequently be translated into machine language.
- Such a mnemonic language is called Assembly language.
- Programs known as Assemblers are written to automate the translation of assembly language into machine language.



- Fundamental functions:

1. Translating mnemonic operation codes to their machine language equivalents.
2. Assigning machine addresses to symbolic tables used by the programmers.

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1.2 THE SIMPLIFIED INSTRUCTIONAL COMPUTER (SIC):

It is similar to a typical microcomputer. It comes in two versions:

- The standard model
- XE version

SIC MACHINE STRUCTURE:

Memory:

- It consists of bytes(8 bits) ,words (24 bits which are consecutive 3 bytes) addressed by the location of their lowest numbered byte.
- There are totally 32,768 bytes in memory.

Registers:

There are 5 registers namely

1. Accumulator (A)
2. Index Register(X)
3. Linkage Register(L)
4. Program Counter(PC)
5. Status Word (SW).

- Accumulator is a special purpose register used for arithmetic operations.
- Index register is used for addressing.
- Linkage register stores the return address of the jump of subroutine instructions (JSUB).
- Program counter contains the address of the current instructions being executed.
- Status word contains a variety of information including the condition code.

Data formats:

- Integers are stored as 24-bit binary numbers: 2's complement representation is used for negative values characters are stored using their 8 bit ASCII codes.
- They do not support floating – point data items.

Instruction formats:

All machine instructions are of 24-bits wide

Opcode (8)	X (1)	Address (15)
-------------------	--------------	---------------------

- X-flag bit that is used to indicate indexed-addressing mode.

Addressing modes:

Two types of addressing are available namely,

1. Direct addressing mode
2. Indexed Addressing Mode Or Indirect Addressing Mode

Mode	Indication	Target Address calculation
Direct	X=0	TA=Address
Indexed	X=1	TA=Address + (X)

where(x) represents the contents of the index register(x)

Instruction set:

It includes instructions like:

1. Data movement instructions Ex: LDA, LDX, STA, STX.
2. Arithmetic operating instruction Ex: ADD, SUB, MUL, DIV.

This involves register A and a word in memory, with the result being left in the register.

3. Branching instructions Ex: JLT, JEQ, TGT.
4. Subroutine linkage

instructions Ex: JSUB,
RSUB.

Input and Output programming:

- I/O is performed by transferring one byte at a time to or from the rightmost 8 bits of register A.
- Each device is assigned a unique 8-bit code.
- There are 3 I/O instructions,
 - 1) The Test Device (TD) instructions tests whether the addressed device is ready to send or receive a byte of data.
 - 2) A program must wait until the device is ready, and then execute a read
 - 3) Data (RD) or Write Data (WD).
 - 4) The sequence must be repeated for each byte of data to be read or written.

1.3 SIC/XE ARCHITECTURE :

Memory:

- 1 word = 24 bits (3 8-bit bytes)
- Total (SIC/XE) = 2^{20} (1,048,576) bytes (1Mbyte)

Registers:

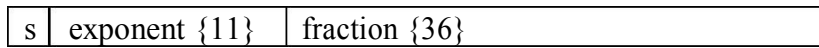
- 10 x 24 bit registers

MNEMONIC	Register	Purpose
A	0	Accumulator
X	1	Index register
L	2	Linkage register (JSUB/RSUB)
B	3	Base register
S	4	General register
T	5	General register
F	6	Floating Point Accumulator (48 bits)
PC	8	Program Counter (PC)
SW	9	Status Word (includes Condition Code, CC)

Data Format:

- Integers are stored in 24 bit, 2's complement format
- Characters are stored in 8-bit ASCII format

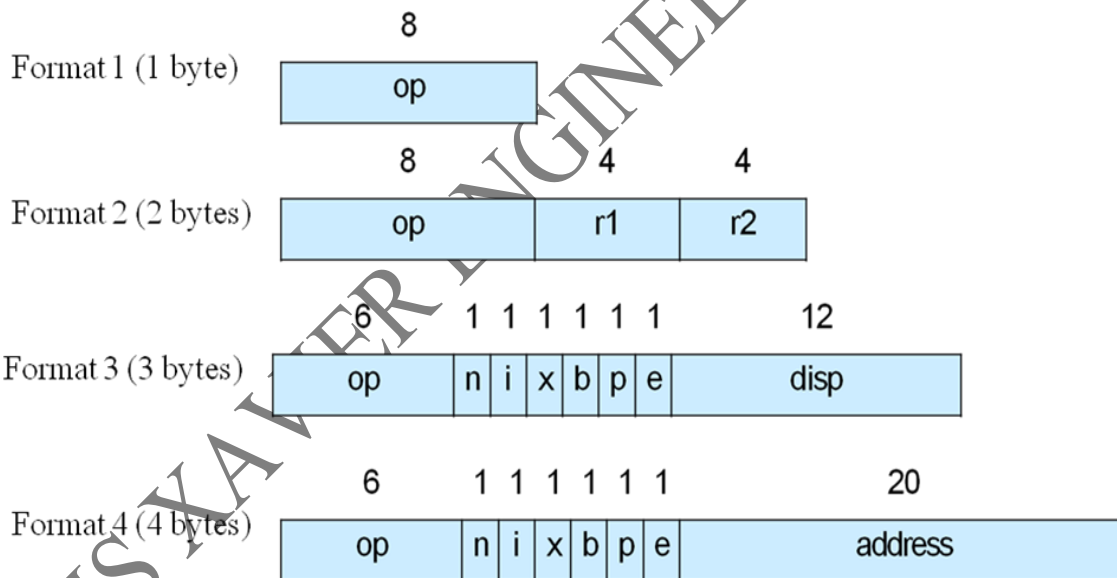
- Floating point is stored in 48 bit signed-exponent-fraction format:



- The fraction is represented as a 36 bit number and has value between 0 and 1.
- The exponent is represented as a 11 bit unsigned binary number between 0 and 2047.
- The sign of the floating point number is indicated by s : 0=positive, 1=negative.
- Therefore, the absolute floating point number value is: $f \cdot 2^{(e-1024)}$

Instruction Format:

- There are 4 different instruction formats available:



Formats 3 & 4 introduce addressing mode flag bits:

- n=0 & i=1
Immediate addressing - TA is used as an operand value (no memory reference)
- n=1 & i=0
Indirect addressing - word at TA (in memory) is fetched & used as an address to fetch the operand from

- $n=0$ & $i=0$
Simple addressing TA is the location of the operand
- $n=1$ & $i=1$
Simple addressing same as $n=0$ & $i=0$

Flag x:

$x=1$ Indexed addressing add contents of X register to TA

calculation

Flag b & p (Format 3 only):

- $b=0$ & $p=0$
Direct addressing displacement/address field contains TA (Format 4 always uses direct addressing)
- $b=0$ & $p=1$
PC relative addressing - $TA=(PC)+disp$ ($-2048 \leq disp \leq 2047$)*
- $b=1$ & $p=0$
Base relative addressing - $TA=(B)+disp$ ($0 \leq disp \leq 4095$)**

Flag e:

$e=0$ use
Format 3
 $e=1$ use
Format 4

Instruction set:

- Load and store the new registers: LDB, STB, etc.
 - Floating-point arithmetic operations: ADDF, SUBF, MULF, DIVF
 - Register move: RMO
 - Register-to-register arithmetic operations : ADDR, SUBR, MULR, DIVR
 - Supervisor call: SVC
- (RMO, RSUB, COMPR, SHIFTR, SHIFTL, ADDR, SUBR, MULR, DIVR, etc)

Input and Output (I/O) programming:

- 2^8 (256) I/O devices may be attached, each has its own unique 8-bit address
- 1 byte of data will be transferred to/from the rightmost 8 bits of register A

Three I/O instructions are provided:

- RD Read Data from I/O device into A
- WD Write data to I/O device from A
- TD Test Device determines if addressed I/O device is ready to send/receive a byte of data. The CC (Condition Code) gets set with results from this test:

< device is ready to send/receive
 = device isn't ready

SIC/XE Has capability for programmed I/O (I/O device may input/output data while CPU does other work) - 3 additional instructions are provided:

- SIO Start I/O
- HIO Halt I/O
- TIO Test I/O

Addressing modes of SIC/XE

◆ Base Relative Addressing Mode

n i x b p e

opcode				1	0		disp
--------	--	--	--	---	---	--	------

$b=1, p=0, TA=(B)+disp \quad (0 \leq disp \leq 4095)$

◆ Program-Counter Relative Addressing Mode

n i x b p e

opcode				0	1		disp
--------	--	--	--	---	---	--	------

$b=0, p=1, TA=(PC)+disp \quad (-2048 \leq disp \leq 2047)$

◆ Direct Addressing Mode

n i x b p e

opcode				0	0		disp
--------	--	--	--	---	---	--	------

b=0, p=0, TA=disp (0≤disp ≤4095)

n i x b p e

opcode			1	0	0		disp
--------	--	--	---	---	---	--	------

b=0, p=0, TA=(X)+disp (with index addressing mode)

◆ Immediate Addressing Mode

n i x b p e

opcode	0	1	0				disp
--------	---	---	---	--	--	--	------

n=0, i=1, x=0, operand=disp

◆ Indirect Addressing Mode

n i x b p e

opcode	1	0	0				disp
--------	---	---	---	--	--	--	------

n=1, i=0, x=0, TA=(disp)

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◆ Simple Addressing Mode

n i x b p e

opcode	0	0					disp
--------	---	---	--	--	--	--	------

$i=0, n=0, TA=bpe+disp$ (SIC standard)

$opcode+n+i = \text{SIC standard opcode (8-bit)}$

n i x b p e

opcode	1	1					disp
--------	---	---	--	--	--	--	------

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UNIT II

ASSEMBLERS

2.1. BASIC ASSEMBLER FUNCTIONS

Fundamental functions of an assembler:

- Translating mnemonic operation codes to their machine language equivalents.
- Assigning machine addresses to symbolic labels used by the programmer.

Figure 2.1: Assembler language program for basic SIC version

Line	Source statement			
5	COPY	START	1000	COPY FILE FROM INPUT TO OUTPUT
10	FIRST	STL	RETADR	SAVE RETURN ADDRESS
15	CLOOP	<u>JSUB</u>	RDREC	READ INPUT RECORD
20		LDA	LENGTH	TEST FOR EOF (LENGTH = 0)
25		COMP	ZERO	
30		<u>JEQ</u>	ENDFIL	EXIT IF EOF FOUND
35		<u>JSUB</u>	WRREC	WRITE OUTPUT RECORD
40		<u>J</u>	CLOOP	LOOP
45	ENDFIL	LDA	EOF	INSERT END OF FILE MARKER
50		STA	BUFFER	
55		LDA	THREE	SET LENGTH = 3
60		STA	LENGTH	
65		<u>JSUB</u>	WRREC	WRITE EOF
70		LDL	RETADR	GET RETURN ADDRESS
75		<u>RSUB</u>		RETURN TO CALLER
80	EOF	BYTE	C'EOF'	
85	THREE	WORD	3	
90	ZERO	WORD	0	
95	RETADR	RESW	1	
100	LENGTH	RESW	1	LENGTH OF RECORD
105	BUFFER	RESB	4096	4096-BYTE BUFFER AREA
110	.			
115	.			SUBROUTINE TO READ RECORD INTO BUFFER
120	.			

Main Loop

FRAM

125	RDREC	LDX	ZERO	CLEAR LOOP COUNTER
130		LDA	ZERO	CLEAR A TO ZERO
135	RLOOP	TD	INPUT	TEST INPUT DEVICE
140		JEQ	RLOOP	LOOP UNTIL READY
145		RD	INPUT	READ CHARACTER INTO REGISTER A
150		COMP	ZERO	TEST FOR END OF RECORD (X'00')
155		JEQ	EXIT	EXIT LOOP IF EOR
160		STCH	BUFFER,X	STORE CHARACTER IN BUFFER
165		TIK	MAXLEN	LOOP UNLESS MAX LENGTH
170		JLT	RLOOP	HAS BEEN REACHED
175	EXIT	STX	LENGTH	SAVE RECORD LENGTH
180		RSUB		RETURN TO CALLER
185	INPUT	BYTE	X'F1'	CODE FOR INPUT DEVICE
190	MAXLEN	WORD	4096	
195	.			
200	.			SUBROUTINE TO WRITE RECORD FROM BUFFER
205	.			
210	WRREC	LDX	ZERO	CLEAR LOOP COUNTER
215	WLOOP	TD	OUTPUT	TEST OUTPUT DEVICE
220		JEQ	WLOOP	LOOP UNTIL READY
225		LDCH	BUFFER,X	GET CHARACTER FROM BUFFER
230		WD	OUTPUT	WRITE CHARACTER
235		TIK	LENGTH	LOOP UNTIL ALL CHARACTERS
240		JLT	WLOOP	HAVE BEEN WRITTEN
245		RSUB		RETURN TO CALLER
250	OUTPUT	BYTE	X'05'	CODE FOR OUTPUT DEVICE
255		END	FIRST	

Indexed addressing is indicated by adding the modifier “X” following the operand. Lines beginning with “.” contain comments only.

The following assembler directives are used:

- **START:** Specify name and starting address for the program.
- **END :** Indicate the end of the source program and specify the first executable instruction in the program.
- **BYTE:** Generate character or hexadecimal constant, occupying as many bytes as needed to represent the constant.
- **WORD:** Generate one- word integer constant.
- **RESB:** Reserve the indicated number of bytes for a data area.
- **RESW:** Reserve the indicated number of words for a data area.

The program contains a main routine that reads records from an input device(code F1) and copies them to an output device(code 05).

The main routine calls subroutines:

- **RDREC** – To read a record into a buffer.
- **WRREC** – To write the record from the buffer to the output device. The end of each record is marked with a null character (hexadecimal 00).

2.1.1. A Simple SIC Assembler

The translation of source program to object code requires the following functions:

1. Convert mnemonic operation codes to their machine language equivalents. Eg: Translate STL to 14 (line 10).
2. Convert symbolic operands to their equivalent machine addresses. Eg: Translate RETADR to 1033 (line 10).
3. Build the machine instructions in the proper format.
4. Convert the data constants specified in the source program into their internal machine representations. Eg: Translate EOF to 454F46(line 80).
5. Write the object program and the assembly listing.

All functions except function 2 can be established by sequential processing of source program one line at a time.

Consider the statement

```
10 1000 FIRST STL RETADR 141033
```

This instruction contains a **forward reference** (i.e.) a reference to a label (RETA DR) that is defined later in the program. It is unable to process this line because the address that will be assigned to RETADR is not known. Hence most assemblers make two passes over the source program where the second pass does the actual translation.

The assembler must also process statements called **assembler directives or pseudo instructions** which are not translated into machine instructions. Instead they provide instructions to the assembler itself.

Examples: RESB and RESW instruct the assembler to reserve memory locations without

generating data values.

The assembler must write the generated object code onto some output device. This object program will later be loaded into memory for execution.

Object program format contains three types of records:

- **Header record:** Contains the program name, starting address and length.
- **Text record:** Contains the machine code and data of the program.
- **End record:** Marks the end of the object program and specifies the address in the program where execution is to begin.

Record format is as follows:

Header record:

Col. 1 H
Col.2-7 Program name
Col.8-13 Starting address of object program
Col.14-19 Length of object program in bytes

Text record:

Col.1 T
Col.2-7 Starting address for object code in this record
Col.8-9 Length of object code in this record in bytes
Col 10-69 Object code, represented in hexadecimal (2 columns per byte of object code)

End record:

Col.1 E
Col.2-7 Address of first executable instruction in object program.

Header		Text
H	COOPY	00100000107A
T	0010001E1410334820390010362810303010154820613C100300102A0C103900102D	
T	00101E150C10364820610810334C0000454F4600000300C000	
T	0020391E041030001030E0205D30203FD8205D2810303020575490392C205E38203F	
T	0020571C1010364C0000F1001000041030E02079302064509039DC20792C1036	
T	002073073820644C000005	

Functions of the two passes of assembler:

Pass 1 (Define symbols) object program corresponding to Fig. 2.2.

1. Assign addresses to all statements in the program.
2. Save the addresses assigned to all labels for use in Pass 2.
3. Perform some processing of assembler directives.

Pass 2 (Assemble instructions and generate object programs)

1. Assemble instructions (translating operation codes and looking up addresses).
2. Generate data values defined by BYTE, WORD etc.
3. Perform processing of assembler directives not done in Pass 1.
4. Write the object program and the assembly listing.

2.1.2. Assembler Algorithm and Data Structures

Assembler uses two major internal data structures:

1. **Operation Code Table (OPTAB)** : Used to lookup mnemonic operation codes and translate them into their machine language equivalents.
2. **Symbol Table (SYMTAB)** : Used to store values (Addresses) assigned to labels.

Location Counter (LOCCTR) :

- Variable used to help in the assignment of addresses.
- It is initialized to the beginning address specified in the START statement.
- After each source statement is processed, the length of the assembled instruction or data area is added to LOCCTR.
- Whenever a label is reached in the source program, the current value of LOCCTR gives the address to be associated with that label.

Operation Code Table (OPTAB) :

- Contains the mnemonic operation and its machine language equivalent.
- Also contains information about instruction format and length.
- In Pass 1, OPTAB is used to lookup and validate operation codes in the source program.
- In Pass 2, it is used to translate the operation codes to machine language program.
- During Pass 2, the information in OPTAB tells which instruction format to use in assembling the instruction and any peculiarities of the object code instruction.

Symbol Table (SYMTAB) :

- Includes the name and value for each label in the source program and flags to indicate error conditions.
- During Pass 1 of the assembler, labels are entered into SYMTAB as they are encountered in the source program along with their assigned addresses.
- During Pass 2, symbols used as operands are looked up in SYMTAB to obtain the addresses to be inserted in the assembled instructions.

Pass 1 usually writes an intermediate file that contains each source statement together with its assigned address, error indicators. This file is used as the input to Pass 2. This copy of the source program can also be used to retain the results of certain operations that may be performed during Pass 1 such as scanning the operand field for symbols and addressing flags, so these need not be performed again during Pass 2.

2.2. MACHINE DEPENDENT ASSEMBLER FEATURES

Consider the design and implementation of an assembler for SIC/XE version.

5	COPY	START	0	COPY FILE FROM INPUT TO OUTPUT
10	FIRST	STL	RETADR	SAVE RETURN ADDRESS
12		LDB	#LENGTH	ESTABLISH BASE REGISTER
13		BASE	LENGTH	
15	CLOOP	+JSUB	RDREC	READ INPUT RECORD
20		LDA	LENGTH	TEST FOR EOF (LENGTH = 0)
25		COMP	#0	
30		JEQ	ENDFIL	EXIT IF EOF FOUND
35		+JSUB	WRREC	WRITE OUTPUT RECORD
40		J	CLOOP	LOOP
45	ENDFIL	LDA	EOF	INSERT END OF FILE MARKER
50		STA	BUFFER	
55		LDA	#3	SET LENGTH = 3
60		STA	LENGTH	
65		+JSUB	WRREC	WRITE EOF
70		J	@RETADR	RETURN TO CALLER
80	EOF	BYTE	C'EOF'	
95	RETADR	RESW	1	
100	LENGTH	RESW	1	LENGTH OF RECORD
105	BUFFER	RESB	4096	4096-BYTE BUFFER AREA
110	.			

115	.			SUBROUTINE TO READ RECORD INTO BUFFER
120	.			
125	RDREC	CLEAR	X	CLEAR LOOP COUNTER
130		CLEAR	A	CLEAR A TO ZERO
132		CLEAR	S	CLEAR S TO ZERO
133		+LDT	#4096	
135	RLOOP	TD	INPUT	TEST INPUT DEVICE
140		JEQ	RLOOP	LOOP UNTIL READY
145		RD	INPUT	READ CHARACTER INTO REGISTER A
150		COMPR	A,S	TEST FOR END OF RECORD (X'00')
155		JEQ	EXIT	EXIT LOOP IF FOR
160		STCH	BUFFER,X	STORE CHARACTER IN BUFFER
165		TLXR	T	LOOP UNLESS MAX LENGTH
170		JLT	RLOOP	HAS BEEN REACHED
175	EXIT	STX	LENGTH	SAVE RECORD LENGTH
180		RSUB		RETURN TO CALLER
185	INPUT	BYTE	X'F1'	CODE FOR INPUT DEVICE
195	.			

Indirect addressing is indicated by adding the prefix @ to the operand (line70). Immediate operands are denoted with the prefix # (lines 25, 55,133). Instructions that refer to memory are normally assembled using either the program counter relative or base counter relative mode.

The assembler directive BASE (line 13) is used in conjunction with base relative addressing. The four byte extended instruction format is specified with the prefix + added to the operation code in the source statement.

Register-to-register instructions are used wherever possible. For example the statement on line 150 is changed from COMP ZERO to COMPR A,S. Immediate and indirect addressing have also been used as much as possible.

Register-to-register instructions are faster than the corresponding register-to-memory operations because they are shorter and do not require another memory reference.

While using immediate addressing, the operand is already present as part of the instruction and need not be fetched from anywhere. The use of indirect addressing often avoids the need for another instruction.

2.2.1 Instruction Formats and Addressing Modes

- SIC/XE
 - o PC-relative or Base-relative addressing: op m
 - o Indirect addressing: op @m
 - o Immediate addressing: op #c
 - o Extended format: +op m
 - o Index addressing: op m,x
 - o register-to-register instructions
 - o larger memory -> multi-programming (program allocation)

Translation

- Register translation
 - o register name (A, X, L, B, S, T, F, PC, SW) and their values (0,1, 2, 3, 4, 5, 6, 8, 9)
 - o preloaded in SYMTAB
- Address translation
 - o Most register-memory instructions use program counter relative or base relative addressing
 - o Format 3: 12-bit address field
 - base-relative: 0~4095
 - pc-relative: -2048~2047
 - o Format 4: 20-bit address field

2.2.2 Program Relocation

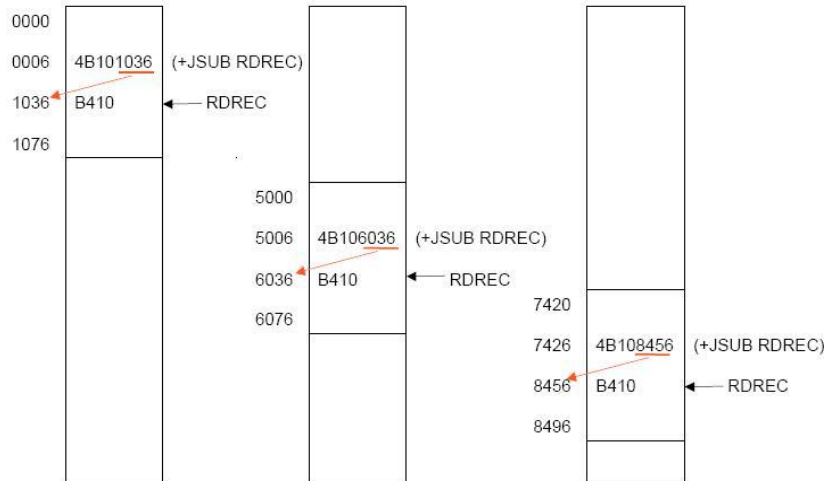
The need for program relocation

- It is desirable to load and run several programs at the same time.
- The system must be able to load programs into memory wherever there is room.
- The exact starting address of the program is not known until load time.

Absolute Program

- Program with starting address specified at assembly time
- The address may be invalid if the program is loaded into somewhere else.
- Example:

Example: Program Relocation



- The only parts of the program that require modification at load time are those that specify direct addresses.
- The rest of the instructions need not be modified.
 - Not a memory address (immediate addressing)
 - PC-relative, Base-relative
- From the object program, it is not possible to distinguish the address and constant.
 - The assembler must keep some information to tell the loader.
 - The object program that contains the modification record is called a relocatable program.

The way to solve the relocation problem

- For an address label, its address is assigned relative to the start of the program (START 0)
- Produce a Modification record to store the starting location and the length of the address field to be modified.
- The command for the loader must also be a part of the object program.

Modification record

- One modification record for each address to be modified

- The length is stored in half-bytes (4 bits)
- The starting location is the location of the byte containing the leftmost bits of the address field to be modified.
- If the field contains an odd number of half-bytes, the starting location begins in the middle of the first byte.

Modification record

Col. 1	M
Col. 2-7	Starting location of the address field to be modified, relative to the beginning of the program (Hex)
Col. 8-9	Length of the address field to be modified, in half-bytes (Hex)

Relocatable Object Program

```

HCOPY  ^00000001077
T0000001D17202D69202D4B1010360320262900003320074B10105D3F2FEC032010
T00001D130F20160100030F200D4B10105D3E2003454F46
T0010361DB410B400B44075101000E32019332FFADB2013A00433200857C003B850
T0010531D3B2FEA1340004F0000F1B410774000E32011332FFA53C003DF2008B850
T001070073B2FEF4F000005
M00000705
M00001405
M00002705
E000000
  
```

The diagram shows a memory dump with annotations. A red box highlights the value '01036' in the second line, with a red arrow pointing to it from the text '5 half-bytes' above. Another red box highlights '0105D' in the second line, with a red arrow pointing to it from the text '5 half-bytes' above. Blue arrows indicate the displacement of the '0105D' value to the start of the instruction '01036' in the second line.

2.3. MACHINE INDEPENDENT ASSEMBLER FEATURES

2.3.1 Literals

- The programmer writes the value of a constant operand as a part of the instruction that uses it. This avoids having to define the constant elsewhere in the program and make a label for it.
- Such an operand is called a Literal because the value is literally in the instruction.

- Consider the following example

```

      :
      LDA      FIVE
FIVE :
      WORD    5
      :

```

→ LDA =X'05'

- It is convenient to write the value of a constant operand as a part of instruction.
- A literal is identified with the prefix =, followed by a specification of the literal value.
- Example:

```

45  001A ENDFIL LDA  =C' EOF'          032010
                                     nixbpe disp
                                     000000 110010 010
93                                     LTORG
002D *                                =C' EOF'          454F46
215 1062 WLOOP  TD   =X' 05'          E32011
230 106B          WD   =X' 05'          DF2008
1076 *                                =X' 05'          05

```

Literals vs. Immediate Operands

- Literals

The assembler generates the specified value as a constant at some other memory location.

```

45  .. 001A ENDFIL LDA  =C' EOF'          032010

```

- Immediate Operands

```

55  0020          LDA  #3          010003

```

The operand value is assembled as part of the machine instruction

- We can have literals in SIC, but immediate operand is only valid in SIC/XE.

Literal Pools

- Normally literals are placed into a pool at the end of the program
- In some cases, it is desirable to place literals into a pool at some other location in the object program
- Assembler directive LTORG
 - When the assembler encounters a LTORG statement, it generates a literal pool (containing all literal operands used since previous LTORG)
- Reason: keep the literal operand close to the instruction
 - Otherwise PC-relative addressing may not be allowed

Duplicate literals

- The same literal used more than once in the program
 - Only one copy of the specified value needs to be stored
 - For example, =X'05'
- In order to recognize the duplicate literals
 - Compare the character strings defining them
 - Easier to implement, but has potential problem e.g. =X'05'
 - Compare the generated data value
 - Better, but will increase the complexity of the assembler
 - e.g. =C'EOF' and =X'454F46'

Problem of duplicate-literal recognition

- ‘*’ denotes a literal refer to the current value of program counter
 - o BUFEND EQU *
- There may be some literals that have the same name, but different values
 - o BASE *
 - o LDB =* (#LENGTH)
- The literal =* repeatedly used in the program has the same name, but different values
- The literal “=*” represents an “address” in the program, so the assembler must generate the appropriate “Modification records”.

Literal table - LITTAB

Content

- o Literal name
 - o Operand value and length
 - o Address
- LITTAB is often organized as a hash table, using the literal name or value as the key.

Implementation of Literals

Pass 1

- Build LITTAB with literal name, operand value and length, leaving the address unassigned
- When LORG or END statement is encountered, assign an address to each literal not yet assigned an address
 - o updated to reflect the number of bytes occupied by each literal

Pass 2

- Search LITTAB for each literal operand encountered
- Generate data values using BYTE or WORD statements
- Generate Modification record for literals that represent an address in the program

SYMTAB & LITTAB

SYMTAB

Name	Value
COPY	0
FIRST	0
CLOOP	6
ENDFIL	1A
RETADR	30
LENGTH	33
BUFFER	36
BUFEND	1036
MAXLEN	1000
RDREC	1036
RLOOP	1040
EXIT	1056
INPUT	105C
WREC	105D
WLOOP	1062

LITTAB

Literal	Hex Value	Length	Address
C' EOF'	454F46	3	002D
X' 05'	05	1	1076

2.3.2 Symbol-Defining Statements

- Most assemblers provide an assembler directive that allows the programmer to define symbols and specify their values.

Assembler directive used is EQU .

- Syntax: symbol EQU value
- Used to improve the program readability, avoid using magic numbers, make it easier to find and change constant values

- Replace
+LDT
#4096
with
MAXLEN
EQU 4096

+LDT #MAXLEN

- Define mnemonic names for registers.
A EQU 0 RMO A,X
X EQU 1
- Expression is allowed
MAXLEN EQU BUFEND-BUFFER

Assembler directive ORG

- Allow the assembler to reset the PC to values
 - Syntax: ORG value
- When ORG is encountered, the assembler resets its LOCCTR to the specified value.
- ORG will affect the values of all labels defined until the next ORG.
- If the previous value of LOCCTR can be automatically remembered, we can return to the normal use of LOCCTR by simply writing
 - ORG

Example: using ORG

- If ORG statements are used

```
STAB      RESB 1100
          ORG  STAB ← Set LOCCTR to STAB
          SYMBOL RESB 6
          VALUE  RESW 1 ← Size of each field
          FLAGS  RESB 2
          ORG  STAB+1100 ← Restore LOCCTR
```

- We can fetch the VALUE field by

```
LDA
VALU
E,X
```

X = 0, 11, 22, ... for each entry

Forward-Reference Problem

- Forward reference is not allowed for either EQU or ORG.
- All terms in the value field must have been defined previously in the program.
- The reason is that all symbols must have been defined during Pass 1 in a two-pass assembler.

- Allowed:

ALPHA	RESW	1
BETA	EQU	ALPHA
- Not Allowed:

BETA	EQU	ALPHA
ALPHA	RESW	1

2.3.3 Expressions

- The assemblers allow “the use of expressions as operand”
- The assembler evaluates the expressions and produces a single operand address or value.
- Expressions consist of Operator
 - o +, -, *, / (division is usually defined to produce an integer result) Individual terms
 - o Constants
 - o User-defined symbols
 - o Special terms, e.g., *, the current value of LOCCTR
- Examples

MAXLEN	EQU	BUFEND-BUFFER
STAB	RESB	(6+3+2)*MAXENTRIES

Relocation Problem in Expressions

- Values of terms can be
 - o Absolute (independent of program location) constants
 - o Relative (to the beginning of the program) Address labels
 - * (value of LOCCTR)
- Expressions can be
 - Absolute
 - o Only absolute terms.
 - o MAXLEN EQU 1000
 - Relative terms in pairs with opposite signs for each pair.
 - MAXLEN EQU BUFEND-BUFFER
 - Relative

All the relative terms except one can be paired as described in “absolute”. The remaining unpaired relative term must have a positive sign.

STAB EQU OPTAB + (BUFEND -
BUFFER)

Restriction of Relative Expressions

- No relative terms may enter into a multiplication or division operation o 3 * BUFFER
- Expressions that do not meet the conditions of either “absolute” or “relative” should be flagged as errors.
- BUFEND + BUFFER
- 100 - BUFFER

Handling Relative Symbols in SYMTAB

- To determine the type of an expression, we must keep track of the types of all symbols defined in the program.
- We need a “flag” in the SYMTAB for indication.

Symbol	Type	Value
RETADR	R	0030
BUFFER	R	0036
BUFEND	R	1036
MAXLEN	A	1000

• Absolute value

BUFEND - BUFFER

• Illegal

BUFEND + BUFFER

100 - BUFFER

3 * BUFFER

2.3.4 Program Blocks

- Allow the generated machine instructions and data to appear in the object program in a different order
- Separating blocks for storing code, data, stack, and larger data block
- Program blocks versus. Control sections
 - Program blocks
 - Segments of code that are rearranged within a single object program unit.
 - Control sections

- Segments of code that are translated into independent object program units.
- Assembler rearranges these segments to gather together the pieces of each block and assign address.
- Separate the program into blocks in a particular order
- Large buffer area is moved to the end of the object program
- Program readability is better if data areas are placed in the source program close to the statements that reference them.

Assembler directive: USE

- USE [blockname]
- At the beginning, statements are assumed to be part of the unnamed (default) block
- If no USE statements are included, the entire program belongs to this single block
- Each program block may actually contain several separate segments of the source program

Example

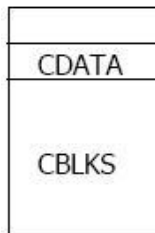
	(default) block	Block number			
0000	0	COPY	START	0	
0000	0	FIRST	STL	RETADR	172063
0003	0	CLOOP	JSUB	RDREC	4B2021
0006	0		LDA	LENGTH	032060
0009	0		COMP	#0	290000
000C	0		JEQ	ENDFIL	332006
000F	0		JSUB	WRREC	4B203B
0012	0		J	CLOOP	3F2FEE
0015	0	ENDFIL	LDA	=C'EOF'	032055
0018	0		STA	BUFFER	0F2056
001B	0		LDA	#3	010003
001E	0		STA	LENGTH	0F2048
0021	0		JSUB	WRREC	4B2029
0024	0		J	@RETADR	3E203F
0000	1		USE	CDATA	← CDATA block
0000	1	RETADR	RESW	1	
0003	1	LENGTH	RESW	1	
0000	2		USE	CBLKS	← CBLKS block
0000	2	BUFFER	RESB	4096	
1000	2	BUFEND	EQU	*	
1000	2	MAXLEN	EQU	BUFEND-BUFFER	

0027	0	RDREC	USE	(default) block	
0027	0		CLEAR	X	B410
0029	0		CLEAR	A	B400
002B	0		CLEAR	S	B440
002D	0		+LDT	#MAXLEN	75101000
0031	0	RLOOP	TD	INPUT	E32038
0034	0		JEQ	RLOOP	332FFA
0037	0		RD	INPUT	DB2032
003A	0		COMPR	A,S	A004
003C	0		JEQ	EXIT	332008
003F	0		STCH	BUFFER,X	57A02F
0042	0		TIXR	T	B850
0044	0		JLT	RLOOP	3B2FEA
0047	0	EXIT	STX	LENGTH	13201F
004A	0		RSUB		4F0000
0006	1		USE	CDATA	CDATA block
0006	1	INPUT	BYTE	X'F1'	F1

004D	0		USE	(default) block	
004D	0	WRREC	CLEAR	X	B410
004F	0		LDT	LENGTH	772017
0052	0	WLOOP	TD	=X'05'	E3201B
0055	0		JEQ	WLOOP	332FFA
0058	0		LDCH	BUFFER,X	53A016
005B	0		WD	=X'05'	DF2012
005E	0		TIXR	T	B850
0060	0		JLT	WLOOP	3B2FEF
0063	0		RSUB		4F0000
0007	1		USE	CDATA	CDATA block
0007	1	*	LTORG		
0007	1	*	=C'EOF'		454F46
000A	1	*	=X'05'		05
			END	FIRST	

Three blocks are used

- default, executable instructions.
- CDATA: all data areas that are less in length.
- CBLKS: all data areas that consists of larger blocks of memory.



Rearrange Codes into Program Blocks

Pass 1

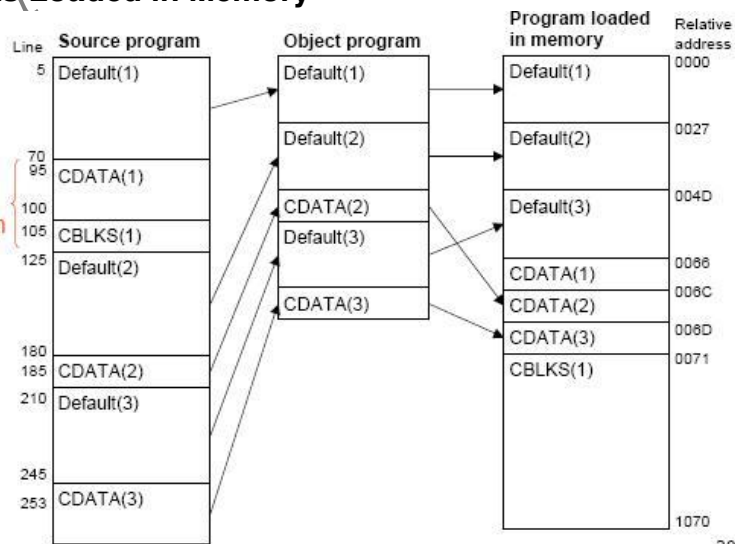
- A separate location counter for each program block
 - Save and restore LOCCTR when switching between blocks
 - At the beginning of a block, LOCCTR is set to 0.
- Assign each label an address relative to the start of the block
- Store the block name or number in the SYMTAB along with the assigned relative address of the label
- Indicate the block length as the latest value of LOCCTR for each block at the end of Pass1
- Assign to each block a starting address in the object program by concatenating the program blocks in a particular order

Block name	Block number	Address	Length
(default)	0	0000	0066
CDATA	1	0066	000B
CBLKS	2	0071	1000

Pass 2

- Calculate the address for each symbol relative to the start of the object program by adding
 - The location of the symbol relative to the start of its block
 - The starting address of this block

Program Blocks Loaded in Memory



Object Program

- It is not necessary to physically rearrange the generated code in the object program
- The assembler just simply inserts the proper load address in each Text record.
- The loader will load these codes into correct place

```
HCOPY 00000001071
T0000001E1720634B20210320602900003320064B203B3F2FEE0320550F2056010003
Default: T00001E090F20484B20293E203F
Default: T0000271DB410B400B44075101000E32038332FFADB2032A00433200857A02FB850
T000044093B2FEA13201F4F0000
CDATA: T00006C01F1
Default: T00004D19B410772017E3201B332FFA53A016DF2012B8503B2FEF4F0000
CDATA: T00006D04454F4605
E000000
```

2.3.5 Control Sections and Program Linking

Control sections

- can be loaded and relocated independently of the other
- are most often used for subroutines or other logical subdivisions of a program
- the programmer can assemble, load, and manipulate each of these control sections separately
- because of this, there should be some means for linking control sections together
- assembler directive, CSECT
 - secname CSECT
- separate location counter for each control section

External Definition and Reference

- Instructions in one control section may need to refer to instructions or data located in another section
- External definition
 - EXTDEF name [, name]
 - EXTDEF names symbols that are defined in this control section and may be used by other sections
 - Ex: EXTDEF BUFFER, BUFEND, LENGTH

- External reference
 - EXTREF name [,name]
 - EXTREF names symbols that are used in this control section and are defined elsewhere
 - Ex: EXTREF RDREC, WRREC
- To reference an external symbol, extended format instruction is needed.

Implicitly defined as an external symbol
first control section

```

COPY      START      0
          EXTDEF     BUFFER,BUFEND,LENGTH
          EXTREF     RDREC,WRREC
FIRST     STL        RETADR
CLOOP    +JSUB     RDREC
          LDA        LENGTH
          COMP      #0
          JEQ       ENDFIL
          +JSUB     WRREC
          J         CLOOP
ENDFIL   LDA        =C'EOF'
          STA        BUFFER
          LDA        #3
          STA        LENGTH
          +JSUB     WRREC
          J         @RETADR
RETADR   RESW      1
LENGTH   RESW      1
          LTORG
BUFFER   RESB     4096
BUFEND   EQU      *
MAXLEN   EQU      BUFFEND-BUFFER

```

COPY FILE FROM INPUT TO OUTPUT
 SAVE RETURN ADDRESS
 READ INPUT RECORD
 TEST FOR EOF (LENGTH=0)
 EXIT IF EOF FOUND
 WRITE OUTPUT RECORD
 LOOP
 INSERT END OF FILE MARKER
 SET LENGTH = 3
 WRITE EOF
 RETURN TO CALLER
 LENGTH OF RECORD
 4096-BYTE BUFFER AREA

Implicitly defined as an external symbol
second control section

```

RDREC    CSECT
:
SUBROUTINE TO READ RECORD INTO BUFFER
:
          EXTREF     BUFFER,LENGTH,BUFFEND
          CLEAR     X
          CLEAR     A
          CLEAR     S
RLOOP   LDT        MAXLEN
          TD        INPUT
          JEQ       RLOOP
          RD        INPUT
          COMPR    A,S
          JEQ       EXIT
          +STCH     BUFFER,X
          TIXR     T
          JLT      RLOOP
EXIT    +STX      LENGTH
INPUT   BYTE     'X'F1
MAXLEN  WORD     BUFFEND-BUFFER

```

CLEAR LOOP COUNTER
 CLEAR A TO ZERO
 CLEAR S TO ZERO
 TEST INPUT DEVICE
 LOOP UNTIL READY
 READ CHARACTER INTO REGISTER A
 TEST FOR END OF RECORD (X'00')
 EXIT LOOP IF EOR
 STORE CHARACTER IN BUFFER
 LOOP UNLESS MAX LENGTH HAS
 BEEN REACHED
 SAVE RECORD LENGTH
 RETURN TO CALLER
 CODE FOR INPUT DEVICE

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Implicitly defined as an external symbol
third control section

```

:      SUBROUTINE TO WRITE RECORD FROM BUFFER
:
:      EXTREF LENGTH,BUFFER
:      CLEAR X          CLEAR LOOP COUNTER
WLOOP +LDT LENGTH
:      TD =X'05'        TEST OUTPUT DEVICE
:      JEQ WLOOP        LOOP UNTIL READY
:      LDCH BUFFER,X    GET CHARACTER FROM BUFFER
:      WD =X'05'        WRITE CHARACTER
:      TIXR T           LOOP UNTIL ALL CHARACTERS HAVE
:      JLT WLOOP        BEEN WRITTEN
:      RSUB             RETURN TO CALLER
:      END FIRST

```

External Reference Handling

Case 1

- 15 0003 CLOOP +JSUB RDREC 4B10000
- The operand RDREC is an external reference.
- The assembler
 - o Has no idea where RDREC is
 - o Inserts an address of zero
 - o Can only use extended format to provide enough room (that is, relative addressing for external reference is invalid)
- The assembler generates information for each external reference that will allow the loader to perform the required linking.

Case 2

- 190 0028 MAXLEN WORD BUFEND-BUFFER
000000
- There are two external references in the expression, BUFEND and BUFFER.
- The assembler
 - o inserts a value of zero
 - o passes information to the loader
- Add to this data area the address of BUFEND
- Subtract from this data area the address of BUFFER

Case 3

- On line 107, BUFEND and BUFFER are defined in the same control section and the expression can be calculated immediately.
- 107 1000 MAXLEN EQU BUFEND-BUFFER

Records for Object Program

- The assembler must include information in the object program that will cause the loader to insert proper values where they are required.
- Define record (EXTDEF)
 - Col. 1 D
 - Col. 2-7 Name of external symbol defined in this control section
 - Col. 8-13 Relative address within this control section (hexadecimal)
 - Col.14-73 Repeat information in Col. 2-13 for other external symbols
- Refer record (EXTREF)
 - Col. 1 R
 - Col. 2-7 Name of external symbol referred to in this control section
 - Col. 8-73 Name of other external reference symbols
- Modification record Col. 1 M
 - Col. 2-7 Starting address of the field to be modified (hexiadecimal) Col. 8-9 Length of the field to be modified, in half-bytes (hexadecimal)
 - Col.11-16 External symbol whose value is to be added to or subtracted from the indicated field
- Control section name is automatically an external symbol, i.e. it is available for use in Modification records.

Object Program

```
COPY
HCOPY 00000001033
DBUFFER000033BUFEND001033LENGTH00002D
RRDREC WRREC
T0000001D1720274B100000320232900003320074B1000003F2FEC0320160F2016
T00001D000100030F200A4B1000003E2000
T00003003454F46
M00000405+RDREC
M00001105+WRREC
M00002405+WRREC
E000000
```

```

RDREC
HRDREC 0000000002B
RBUFFERLENGTHBUFEND
T0000001DB410B400B44077201FE3201B332FFADB2015A00433200957900000B850
T00001D0E3B2FE9131000004F0000F1000000
M00001805+BUFFER
M00002105+LENGTH
M00002806+BUFEND
M00002806-BUFFER } BUFEND-BUFFER
E

WRREC
HWRREC 0000000001C
RLENGTHBUFFER
T0000001CB41077100000E3201232FFA53900000DF2008B8503B2FEE4F000005
M00000305+LENGTH
M00000005+BUFFER
E

```

Expressions in Multiple Control Sections

- Extended restriction
 - Both terms in each pair of an expression must be within the same control section
 - Legal: BUFEND-BUFFER
 - Illegal: RDREC-COPY
- How to enforce this restriction
 - When an expression involves external references, the assembler cannot determine whether or not the expression is legal.
 - The assembler evaluates all of the terms it can, combines these to form an initial expression value, and generates Modification records.
 - The loader checks the expression for errors and finishes the evaluation.

2.4 ONE PASS ASSEMBLERS AND MULTI PASS ASSEMBLERS

2.4.1 ONE-PASS ASSEMBLER

Load-and-Go Assembler

- Load-and-go assembler generates their object code in memory for immediate execution.
- No object program is written out, no loader is needed.
- It is useful in a system with frequent program development and testing
- The efficiency of the assembly process is an important consideration.

- Programs are re-assembled nearly every time they are run; efficiency of the assembly process is an important consideration.

One-Pass Assemblers

- Scenario for one-pass assemblers
 - Generate their object code in memory for immediate execution – *load-and-go* assembler
 - External storage for the intermediate file between two passes is slow or is inconvenient to use
- Main problem - Forward references
 - Data items
 - Labels on instructions
- Solution
 - Require that all areas be defined before they are referenced.
 - It is possible, although inconvenient, to do so for data items.
 - Forward jump to instruction items cannot be easily eliminated.
 - Insert (label, address_to_be_modified) to SYMTAB
Usually, address_to_be_modified is stored in a linked-list

Sample program for a one-pass assembler

Line	Loc	Source statement	Object code
0	1000	COPY START 1000	
1	1000	EOF BYTE C'EOF'	454F46
2	1000	THREE WORD 3	000003
3	1000	ZERO WORD 0	000000
4	1000	RETADR RESW 1	
5	1000	LENGTH RESW 1	
6	100F	BUFFER RESB 4096	
10	200F	FIRST STL RETADR	141009
15	2012	CLOOP JSUB WRREC	48203D
20	2015	LDA LENGTH	00100C
25	2018	COMP ZERO	281006
30	201B	JEQ ENDFIL	302024
35	201E	JSUB WRREC	482062
40	2021	CLOOP	302012
45	2024	ENDFIL LDA EOF	001000
50	2027	STA BUFFER	0C100F
55	202A	LDA THREE	001003
60	202D	STA LENGTH	0C100C
65	2030	JSUB WRREC	482062
70	2033	LDL RETADR	081009
75	2036	RTN	4C0000

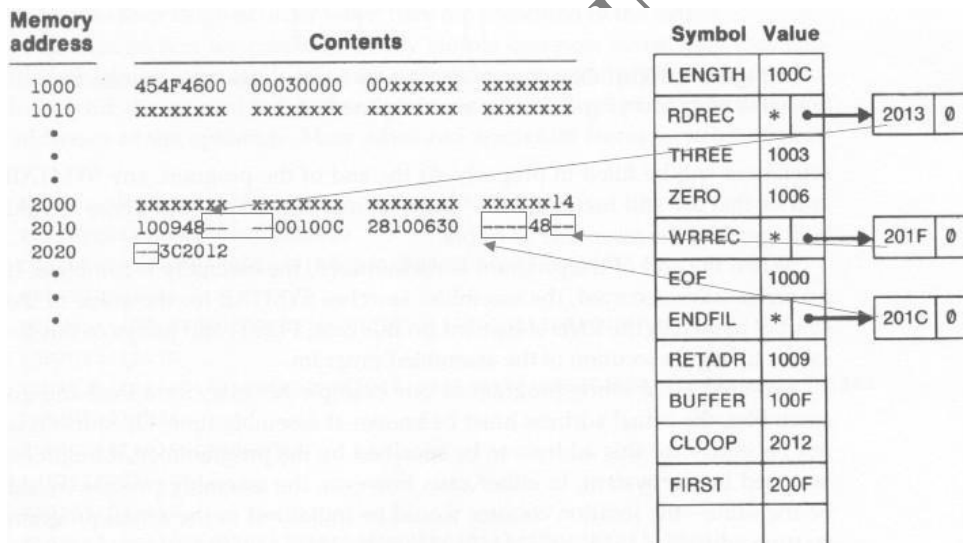
Forward Reference in One-pass Assembler

- Omits the operand address if the symbol has not yet been defined.

- Enters this undefined symbol into SYMTAB and indicates that it is undefined.
- Adds the address of this operand address to a list of forward references associated with the SYMTAB entry.
- When the definition for the symbol is encountered, scans the reference list and inserts the address.
- At the end of the program, reports the error if there are still SYMTAB entries indicated undefined symbols.
- For Load-and-Go assembler
- Search SYMTAB for the symbol named in the END statement and jumps to this location to begin execution if there is no error.

Object Code in Memory and SYMTAB

After scanning line 40 of the above program



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After scanning line 160 of the above program

Memory address	Contents	Symbol	Value
1000	454F4600 00030000 00xxxxxx xxxxxxxx	LENGTH	100C
1010	xxxxxxxx xxxxxxxx xxxxxxxx xxxxxxxx	RDREC	203D
...		THREE	1003
...		ZERO	1006
2000	xxxxxxxx xxxxxxxx xxxxxxxx xxxxxxx14	WRREC	*
2010	10094820 3000100C 28100630 202448	EOF	1000
2020	3C2012 0010000C 100F0010 0301008	ENDFIL	2024
2030	4E 10094C00 00F10010 00041006	RETADR	1009
2040	001006E0 20393020 43DB2039 28100630	BUFFER	100F
2050	5490 0F	CLOOP	2012
...		FIRST	200F
		MAXLEN	203A
		INPUT	2039
		EXIT	*
		RLOOP	2043

Diagram illustrating the state of the assembler's symbol table and memory contents after scanning line 160. The symbol table shows symbols like WRREC, EOF, and EXIT with values like * or 0. Memory addresses 2010-2050 show contents with some symbols (3C2012, 4E, 5490) highlighted. Arrows indicate that the symbol table values are being updated based on the memory contents. For example, WRREC's value is updated from * to 201F, and EXIT's value is updated from * to 2050.

If One-Pass Assemblers need to produce object codes

- If the operand contains an undefined symbol, use 0 as the address and write the Text record to the object program.
- Forward references are entered into lists as in the load-and-go assembler.
- When the definition of a symbol is encountered, the assembler generates another Text record with the correct operand address of each entry in the reference list.
- When loaded, the incorrect address 0 will be updated by the latter Text record containing the symbol definition.

Object code generated by one-pass assembler

```

HCOPY 00100000107A
T00100009454F460000003000000
T00200F1514100948000000100C2810063000004800003C2012
T00201C022024
T002024190010000C100F0010030C100C4800000810094C0000F1001000
T00201302203D
T00203D1E041006001006E02039302043DB203928100630000054900F2C203A382043
T00205002205B
T00205B0710100C4C000005
T00201F022062
T002031022062
T00206218041006E0206130206550900FDC20612C100C3820654C0000
E00200F
  
```

The object code generated by the one-pass assembler. It shows the initial text records (T00100009454F460000003000000, T00200F1514100948000000100C2810063000004800003C2012, T00201C022024, T002024190010000C100F0010030C100C4800000810094C0000F1001000, T00201302203D, T00203D1E041006001006E02039302043DB203928100630000054900F2C203A382043, T00205002205B, T00205B0710100C4C000005, T00201F022062, T002031022062, T00206218041006E0206130206550900FDC20612C100C3820654C0000) and the final object code (E00200F). Arrows in the original image point from the memory contents to the corresponding text records in the object code.

2.4.2 MULTI-PASS ASSEMBLERS

Multi Pass Assembler:

- If we use a two-pass assembler, the following symbol definition cannot be allowed.

ALPHA	EQU	BETA
BETA	EQU	DELTA
DELTA	RESW1	

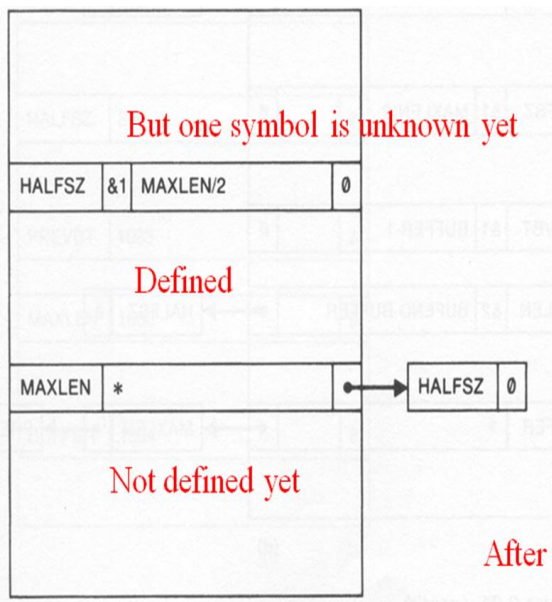
- This is because ALPHA and BETA cannot be defined in pass 1. Actually, if we allow multi-pass processing, DELTA is defined in pass 1, BETA is defined in pass 2, and ALPHA is defined in pass 3, and the above definitions can be allowed.
- This is the motivation for using a multi-pass assembler.
- It is unnecessary for a multi-pass assembler to make more than two passes over the entire program.
- Instead, only the parts of the program involving forward references need to be processed in multiple passes.
- The method presented here can be used to process any kind of forward references.

Multi-Pass Assembler Implementation:

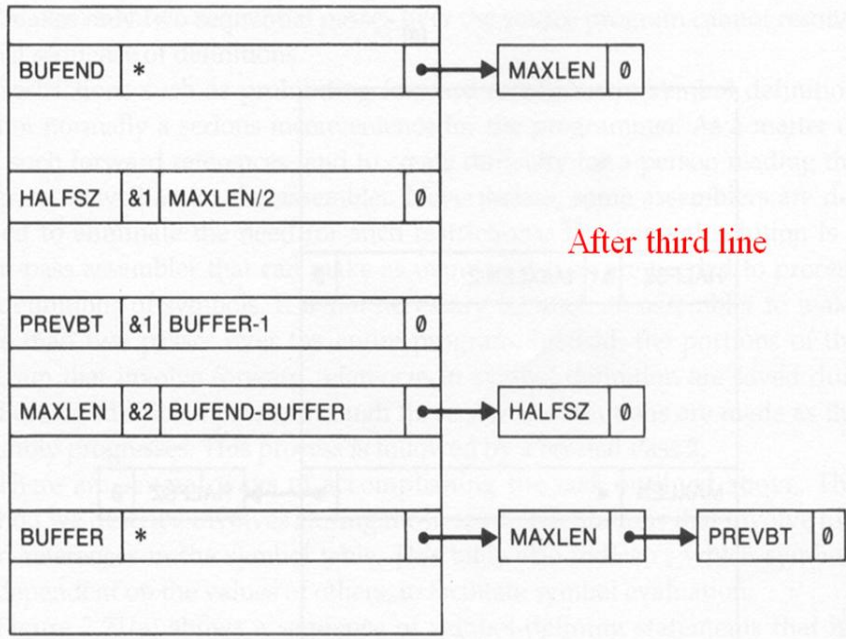
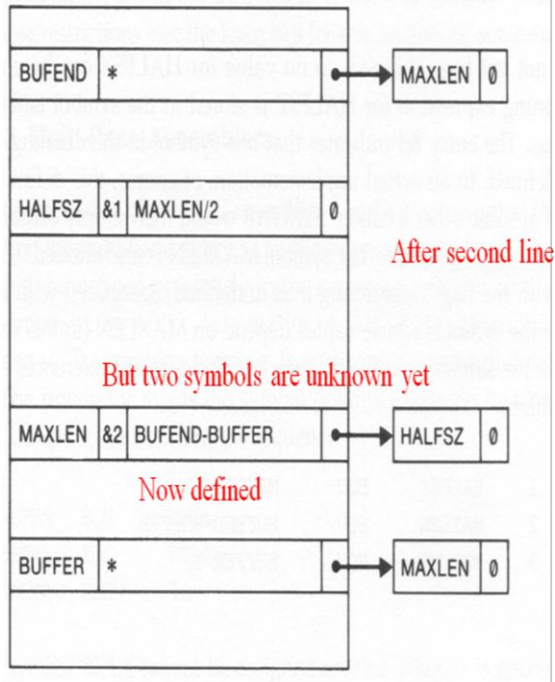
- Use a symbol table to store symbols that are not totally defined yet.
- For a undefined symbol, in its entry,
 - We store the names and the number of undefined symbols which contribute to the calculation of its value.
 - We also keep a list of symbols whose values depend on the defined value of this symbol.
- When a symbol becomes defined, we use its value to reevaluate the values of all of the symbols that are kept in this list.
- The above step is performed recursively.

Forward Reference Example:

1	HALFSZ	EQU	MAXLEN/2
2	MAXLEN	EQU	BUFEND-BUFFER
3	PREVBT	EQU	BUFFER-1
			.
			.
			.
4	BUFFER	RESB	4096
5	BUFEND	EQU	*



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BUFEND	*		→	MAXLEN	0
HALFSZ	&1	MAXLEN/2			0
PREVBT	1033				0
MAXLEN	&1	BUFEND-BUFFER	→	HALFSZ	0
BUFFER	1034				0

After 4'th line

BUFEND	2034				0
HALFSZ	800				0
PREVBT	1033				0
MAXLEN	1000				0
BUFFER	1034				0

After 5'th line

All symbols are defined and their values are known now.

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2.5 IMPLEMENTATION EXAMPLE MASM ASSEMBLER.

- A collection of segments.
 - Each segment belongs to a specific class
 - Common classes: CODE, DATA, CONST, STACK
 - Segments are addressed by segment registers:
 - Segment registers are automatically set by loader.
 - CODE: CS,
 - is set to the segment containing the starting label specified in the END statement.
 - STACK: SS
 - Is set to the last stack segment processed by the loader.
 - DATA: DS, ES,FS,GS
 - Can be specified by programmers in their programs.
 - Otherwise, one of them is selected by assembler.
 - DS is the data segment register by default
 - » Can be changed and set by: ASSUME
ES:DATASEG2
 - » Any reference to the labels defined in DATASEG2 will be assembled based on ES
 - Must be loaded by program before they can be used.
 - » MOV AX,DATASEG2
 - » MOV ES, AX
 - ASSUME is somewhat similar with BASE in SIC, programmer must provide instructions to load the value to registers.
- Collect several segments into a group and use ASSUME to link a register with the group.
- Parts of a segment can be separated and assembler arranges them together, like program blocks in SIC/XE
- JMP is a main specific issue:
 - Near JMP: 2 to 3 bytes, same segment, using current CS

- Far JMP: 5 bytes, different segment, using a different segment register, as the instruction prefix.
- Forward JMP: e.g., JMP TARGET,
 - Assembler does not know whether it is a near jump or far jump, so not sure how many bytes to reserve for the instruction.
 - By default, assembler assumes a forward jump is near jump. Otherwise,
 - JMP FAR PTR TARGET , indicate a jump to a different segment
 - Without FAR PTR, error will occur.
 - Similar to SIC/EX extended format instructions.
 - JMP SHORT TARGET, indicate a within-128 offset jump.
- Other situations that the length of an instruction depends on operands. So more complicate than SIC/EX
 - Must analyze operands, in addition to opcode
 - Opcode table is more complex.
- References between segments that are assembled together can be processed by assembler
- Otherwise, it must be processed by loader.
 - PUBLIC is similar to EXTDEF
 - EXTRN is similar to EXTREF
- Object programs from MASM can have different formats.
- MASM can also generate an instruction timing list.

UNIT III

LOADERS AND LINKERS

INTRODUCTION

- Loader is a system program that performs the loading function.
- Many loaders also support relocation and linking.
- Some systems have a linker (linkage editor) to perform the linking operations and a separate loader to handle relocation and loading.
- One system loader or linker can be used regardless of the original source programming language.
- Loading Brings the object program into memory for execution.
- Relocation Modifies the object program so that it can be loaded at an address different from the location originally specified.
- Linking Combines two or more separate object programs and supplies the information needed to allow references between them.

3.1 BASIC LOADER FUNCTIONS

Fundamental functions of a loader:

1. Bringing an object program into memory.
2. Starting its execution.

3.1.1 Design of an Absolute Loader

For a simple absolute loader, all functions are accomplished in a single pass as follows:

- 1) The Header record of object programs is checked to verify that the correct program has been presented for loading.
- 2) As each Text record is read, the object code it contains is moved to the indicated address in memory.
- 3) When the End record is encountered, the loader jumps to the specified address to begin execution of the loaded program.

An example object program is shown in Fig (a).

```

MCPY 00100000107A
T0010001E1410334820390010362810303010154820613C100300102A0C103900102D
T00101E150C10364820610810334C0000454F46000003000000
T0020391E041030001030E0205D30203FD8205D2810903020575490392C205E38203F
T0020571C10103640000071001000041030E02079302064509039DC20792C1036
T002073073820644C000005
EO01000
    
```

(a) Object program

Fig (b) shows a representation of the program from Fig (a) after loading

Memory address	Contents			
0000	XXXXXXXX	XXXXXXXX	XXXXXXXX	XXXXXXXX
0010	XXXXXXXX	XXXXXXXX	XXXXXXXX	XXXXXXXX
⋮	⋮	⋮	⋮	⋮
0FF0	XXXXXXXX	XXXXXXXX	XXXXXXXX	XXXXXXXX
1000	14103348	20390010	36281030	30101548
1010	20613C10	0300102A	0C103900	102D0C10
1020	36482061	0810334C	0000454F	46000003
1030	0000000X	XXXXXXXX	XXXXXXXX	XXXXXXXX ← COPY
⋮	⋮	⋮	⋮	⋮
2030	XXXXXXXX	XXXXXXXX	XX041030	001030E0
2040	205D3020	3FD8205D	28103030	20575490
2050	392C205E	38203E10	10364C00	00F10010
2060	00041030	E0207930	20645090	39DC2079
2070	2C103638	20644C00	0005XXXX	XXXXXXXX
2080	XXXXXXXX	XXXXXXXX	XXXXXXXX	XXXXXXXX
⋮	⋮	⋮	⋮	⋮

(b) Program loaded in memory

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Algorithm for Absolute Loader

```
begin
  read Header record
  verify program name and length
  read first Text record
  while record type ≠ 'E' do
    begin
      {if object code is in character form, convert into
       internal representation}
      move object code to specified location in memory
      read next object program record
    end
  jump to address specified in End record
end
```

- It is very important to realize that in Fig (a), each printed character represents one byte of the object program record.
- In Fig (b), on the other hand, each printed character represents one hexadecimal digit in memory (a half-byte).
- Therefore, to save space and execution time of loaders, most machines store object programs in a **binary form**, with each byte of object code stored as a single byte in the object program.
- In this type of representation a byte may contain any binary value.

3.1.2 A Simple Bootstrap Loader

When a computer is first turned on or restarted, a special type of absolute loader, called a **bootstrap loader**, is executed. This bootstrap loads the first program to be run by the computer – usually an operating system.

Working of a simple Bootstrap loader

- When a computer is first turned on or restarted, a special type of absolute loader must be executed (stored in ROM on a PC).
- The bootstrap loader loads the first program to be run by the computer – usually the operating system, from the boot disk (e.g., a hard disk or a floppy disk)
- It then jumps to the just loaded program to execute it.
- Normally, the just loaded program is very small (e.g., a disk sector's size, 512 bytes) and is a loader itself.

- The just loaded loader will continue to load another larger loader and jump to it.
- This process repeats another entire large operating system is loaded.
- The algorithm for the bootstrap loader is as follows

Begin

X=0x80 (the address of the next memory location to be loaded)

Loop

A←GETC (and convert it from the ASCII character code to the value of the hexadecimal digit)

save the value in the high-order 4 bits of S

A←GETC

combine the value to form one byte A← (A+S)

store the value (in A) to the address in register X

X←X+1

End

- It uses a subroutine GETC, which is
GETC A←read one character

if A=0x04 then jump to 0x80

if A<48 then GETC

A ← A-48 (0x30)

if A<10 then return

A ←A-7 return

Source code for bootstrap loader

```

BOOT   START   0       BOOTSTRAP LOADER FOR SIC/XE
.
. THIS BOOTSTRAP READS OBJECT CODE FROM DEVICE F1 AND ENTERS IT
. INTO MEMORY STARTING AT ADDRESS 80 (HEXADECIMAL). AFTER ALL OF
. THE CODE FROM DEVF1 HAS BEEN SEEN ENTERED INTO MEMORY, THE
. BOOTSTRAP EXECUTES A JUMP TO ADDRESS 80 TO BEGIN EXECUTION OF
. THE PROGRAM JUST LOADED. REGISTER X CONTAINS THE NEXT ADDRESS
. TO BE LOADED.
.
      CLEAR    A       CLEAR REGISTER A TO ZERO
      LDX     #128     INITIALIZE REGISTER X TO HEX 80
LOOP   JSUB    GETC    READ HEX DIGIT FROM PROGRAM BEING LOADED
      RMO     A,S      SAVE IN REGISTER S
      SHIFTL  S,4      MOVE TO HIGH-ORDER 4 BITS OF BYTE
      JSUB    GETC    GET NEXT HEX DIGIT
      ADDR   S,A      COMBINE DIGITS TO FORM ONE BYTE
      STCH   0,X      STORE AT ADDRESS IN REGISTER X
      TIXR   X,X      ADD 1 TO MEMORY ADDRESS BEING LOADED
      J      LOOP    LOOP UNTIL END OF INPUT IS REACHED

```

```

. SUBROUTINE TO READ ONE CHARACTER FROM INPUT DEVICE AND
. CONVERT IT FROM ASCII CODE TO HEXADECIMAL DIGIT VALUE. THE
. CONVERTED DIGIT VALUE IS RETURNED IN REGISTER A. WHEN AN
. END-OF-FILE IS READ, CONTROL IS TRANSFERRED TO THE STARTING
. ADDRESS (HEX 80).

```

```

      GETC    TD      INPUT TEST INPUT DEVICE
      JEQ     GETC    LOOP UNTIL READY
      RD      INPUT  READ CHARACTER
      COMP    #0      IF CHARACTER IS HEX 04 (END OF FILE),
      JEQ     80      JUMP TO START OF PROGRAM JUST LOADED
      COMP    #48     COMPARE TO HEX 30 (CHARACTER '0')
      JLT     GETC    SKIP CHARACTERS LESS THAN '0'
      SUB     #48     SUBTRACT HEX 30 FROM ASCII CODE
      COMP    #10     IF RESULT IS LESS THAN 10, CONVERSION IS
      JLT     RETURN  COMPLETE. OTHERWISE, SUBTRACT 7 MORE
      SUB     #7      (FOR HEX DIGITS 'A' THROUGH 'F')
      RETURN  RSUB    RETURN TO CALLER
      INPUT  BYTE    X'F1' CODE FOR INPUT DEVICE
      END     LOOP

```

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3.2 MACHINE-DEPENDENT LOADER FEATURES

- The absolute loader has several potential disadvantages. One of the most obvious is the need for the programmer to specify the actual address at which it will be loaded into memory.
- On a simple computer with a small memory the actual address at which the program will be loaded can be specified easily.
- On a larger and more advanced machine, we often like to run several independent programs together, sharing memory between them. We do not know in advance where a program will be loaded. Hence we write relocatable programs instead of absolute ones.
- Writing absolute programs also makes it difficult to use subroutine libraries efficiently. This could not be done effectively if all of the subroutines had pre-assigned absolute addresses.
- The need for *program relocation* is an indirect consequence of the change to larger and more powerful computers. The way relocation is implemented in a loader is also dependent upon machine characteristics.
- Loaders that allow for program relocation are called relocating loaders or relative loaders.

3.2.1 Relocation

Two methods for specifying relocation as part of the object program:

The first method:

- A Modification is used to describe each part of the object code that must be changed when the program is relocated.

Fig(1) :Consider the program

Line	Loc	Source statement	Object code
5	0000	COPY START 0	
10	0000	FIRST STL RETADR	17202D
12	0003	LDB #LENGTH	69202D
13		BASE LENGTH	
15	0006	CLOOP +JSUB RDREC	4B101036
20	000A	LDA LENGTH	032026
25	000D	COMP #0	290000
30	0010	JEQ ENDFIL	332007
35	0013	+JSUB WRREC	4B10105D
40	0017	J CLOOP	3F2FEC
45	001A	ENDFIL LDA EOF	032010
50	001D	STA BUFFER	0F2016
55	0020	LDA #3	010003
60	0023	STA LENGTH	0F200D
65	0026	~JSUB WRREC	4B10105D
70	002A	J @RETADR	3E2003
80	002D	EOF BYTE C'EOF'	454F46
95	0030	RETADR RESW 1	
100	0033	LENGTH RESW 1	
105	0036	BUFFER RESE 4096	
110		.	
115		. SUBROUTINE TO READ RECORD INTO BUFFER	
120		.	
125	1036	RDREC CLEAR X	B410
130	1038	CLEAR A	B400
132	103A	CLEAR S	B440
133	103C	+LDT #4096	75101000
135	1040	RLOOP TD INPUT	E32019
140	1043	JEQ RLOOP	332FFA
145	1046	RD INPUT	DE2013
150	1049	COMPR A,S	A004
155	104B	JEQ EXIT	332008
160	104E	STCH BUFFER,X	57C003
165	1051	TIXR T	B850
170	1053	JLT RLOOP	3B2FEA
175	1056	EXIT STX LENGTH	134000
180	1059	RSUB	4F0000
185	105C	INPUT BYTE X'F1'	F1
195		.	
200		. SUBROUTINE TO WRITE RECORD FROM BUFFER	
205		.	
210	105D	WRREC CLEAR X	B410
212	105F	LDT LENGTH	774000
215	1062	WLOOP TD OUTPUT	E32011
220	1065	JEQ WLOOP	332FFA
225	1068	LXCH BUFFER,X	53C003
230	106B	WD OUTPUT	DF2008
235	106E	TIXR T	B850
240	1070	JLT WLOOP	3B2FEF
245	1073	RSUB	4F0000
250	1076	OUTPUT BYTE X'05'	05
255		END FIRST	

- Most of the instructions in this program use relative or immediate addressing.
- The only portions of the assembled program that contain actual addresses are the extended format instructions on lines 15, 35, and 65. Thus these are the only items whose values are affected by relocation.

Object program

```

HCOPY 00000001077
T0000001D17202D69202D4B1010360320262900003320074B10105D3F2FEC032010
T00001D130F20160100030F200D4B10105D3E2003454F46
T0010361DB410B400B44075101000E32019332FFADB2013A00433200857C003B850
T0010531D3B2FEA1340004F0000F1B410774000E32011332FFA53C003DF2008B850
T001070073B2FEF4F000005
M00000705+COPY
M00001405+COPY
M00002705+COPY
E000000

```

- Each Modification record specifies the starting address and length of the field whose value is to be altered.
- It then describes the modification to be performed.
- In this example, all modifications add the value of the symbol COPY, which represents the starting address of the program.

Fig(2) :Consider a Relocatable program for a Standard SIC machine

Line	Loc	Source statement	Object code
5	0000	COPY START 0	
10	0000	FIRST STL RETADR	140033
15	0003	CLOOP JSUB RDRREC	481039
20	0006	LDA LENGTH	000036
25	0009	COMP ZERO	280030
30	000C	JEQ ENDFIL	300015
35	000F	JSUB WRREC	481061
40	0012	J CLOOP	3C0003
45	0015	ENDFIL LDA BOP	00002A
50	0018	STA EOFFER	0C0039
55	001B	LDA THREE	00002D
60	001E	STA LENGTH	0C0036
65	0021	JSUB WRREC	481061

200						SUBROUTINE TO WRITE RECORD FROM BUFFER.
205						
210	1061	WRREC	LIX	ZERO		040030
215	1064	WLOOP	TD	OUTPUT		E01079
220	1067		JEQ	WLOOP		301064
225	106A		LDCH	BUFFER, X		508039
230	106D		VD	OUTPUT		DC1079
235	1070		TLX	LENGTH		2C0036
240	1073		JLT	LOOP		381064
245	1076		RSUB			4C0000
250	1079	OUTPUT	BYTE	X'05'		05
255			END	FIRST		

- The Modification record is not well suited for use with all machine architectures. Consider, for example, the program in Fig (2). This is a relocatable program written for standard version for SIC.
- The important difference between this example and the one in Fig (1) is that the standard SIC machine does not use relative addressing.
- In this program the addresses in all the instructions except RSUB must be modified when the program is relocated. This would require 31 Modification records, which results in an object program more than twice as large as the one in Fig (1).

The second method:

- There are no Modification records.
- The Text records are the same as before except that there is a *relocation bit* associated with each word of object code.
- Since all SIC instructions occupy one word, this means that there is one relocation bit for each possible instruction.

Fig (3): Object program with relocation by bit mask

```

HCOPY 00000000107A
T0000001E1FFC140D334810390000362800303000154810613C000300002A0C003900001D
T00001E15E000C00364810610800334C0000454F46000003000000
T0010391E7FC040030000030E0105D30103F08105D2800303010575480392C105E38103F
T0010570A8001000364C0000F1001000
T00106119F80040030E01079301064508039DC10792C00363810644C000003
R000000

```

- The relocation bits are gathered together into a **bit mask** following the length indicator in each Text record. In Fig (3) this mask is represented (in character form) as three hexadecimal digits.
- If the relocation bit corresponding to a word of object code is set to **1**, the program's starting address is to be added to this word when the program is relocated. A bit value of **0** indicates that no modification is necessary.
- If a Text record contains fewer than 12 words of object code, the bits corresponding to unused words are set to 0.
- For example, the bit mask FFC (representing the bit string 11111111100) in the first Text record specifies that all 10 words of object code are to be modified during relocation.
- **Example:** Note that the LDX instruction on line 210 (Fig (2)) begins a new Text record. If it were placed in the preceding Text record, it would not be properly aligned to correspond to a relocation bit because of the 1-byte data value generated from line 185.

3.2.2 Program Linking

Consider the three (separately assembled) programs in the figure, each of which consists of a single control section.

Program 1 (PROGA):

Loc		Source statement	Object code
0000	PROGA	START 0	
		ENDDEF LISTA, ENDA	
		ENDREP LISTB, ENDB, LISTC, ENDC	
0020	REF1	LDA LISTA	03201D
0023	REF2	LDT LISTB+4	77100004
0027	REF3	LDX #ENDA-LISTA	050014
		*	
0040	LISTA	EQU *	
		*	
0054	ENDA	EQU *	
0054	REF4	WORD ENDA-LISTA+LISTC	000014
0057	REF5	WORD ENDC-LISTC-10	FFFFF6
005A	REF6	WORD ENDC-LISTC-LISTA-1	00003F
005D	REF7	WORD ENDA-LISTA-(ENDB-LISTB)	000014
0060	REF8	WORD LISTB-LISTA	FFFFC0
		END REPI	

Program 2 (PROGB):

Loc		Source statement	Object code
0000	PROGB	START 0 EXTDEF LISTB, ENDB EXTREF LISTA, ENDA, LISTC, ENDC .	
0036	REF1	+LDA LISTA	03100000
003A	REF2	LDT LISTB+4	772027
003D	REF3	+LDX #ENDA-LISTA .	05100000
0060	LISTB	EQU *	
0070	ENDB	EQU *	
0070	REF4	WORD ENDA-LISTA+LISTC	000000
0073	REF5	WORD ENDC-LISTC-10	FFFFFF6
0076	REF6	WORD ENDC-LISTC+LISTA-1	FFFFFFF
0079	REF7	WORD ENDA-LISTA-(ENDB-LISTB)	FFFFFF0
007C	REF8	WORD LISTB-LISTA END	000060

Program 3 (PROGC):

Loc		Source statement	Object code
0000	PROGC	START 0 EXTDEF LISTC, ENDC EXTREF LISTA, ENDA, LISTB, ENDB .	
0018	REF1	+LDA LISTA	03100000
001C	REF2	+LDT LISTB-4	77100004
0020	REF3	+LDX #ENDA-LISTA .	05100000
0030	LISTC	EQU *	
0042	ENDC	EQU *	
0042	REF4	WORD ENDA-LISTA+LISTC	000030
0045	REF5	WORD ENDC-LISTC-10	000008
0048	REF6	WORD ENDC-LISTC+LISTA-1	000011
004B	REF7	WORD ENDA-LISTA-(ENDB-LISTB)	000000
004E	REF8	WORD LISTB-LISTA END	000000

Consider first the reference marked REF1.

For the first program (PROGA),

- REF1 is simply a reference to a label within the program.
- It is assembled in the usual way as a PC relative instruction.
- No modification for relocation or linking is necessary.

In PROGB, the same operand refers to an external symbol.

- The assembler uses an extended-format instruction with address field set to 00000.
- The object program for PROGB contains a Modification record instructing the loader to add *the value of the symbol LISTA* to this address field when the program is linked.

For PROGC, REF1 is handled in exactly the same way.

Corresponding object programs

PROGA:

```
HPROGA 000000000063
LLISTA 000040ENDA 000054
RLISTB ENDB LISTC ENDC
.
.
T0000200203201D77100004050014
.
.
T00005402000014FFFFF600003F000014FFFFC0
M00002405+LISTB
M00005406+LISTC
M00005706+ENDC
M00005706-LISTC
M00005A06+ENDC
M00005A06-LISTC
M00005A06+PROGA
M00005D06-ENDB
M00005D06+LISTB
M00006006+LISTB
M00006006-PROGA
R000020
```

PROGB:

```
HPROGB 00000000007F
LLISTE 000060ENDE 008070
RLISTA ENDA LISTC ENDC
*
T000034030310000077202705100000
*
T0000700F000000FFFFFF6FFFFFFF0000060
M00003705+LISTA
M00003E05+ENDA
M00003E05-LISTA
M00007006+ENDA
M00007006-LISTA
M00007006-LISTC
M00007306+ENDC
M00007306-LISTC
M00007606+ENDC
M00007606-LISTC
M00007606+LISTA
M00007906+ENDA
M00007906-LISTA
M00007C06+PROGB
M00007C06-LISTA
E
```

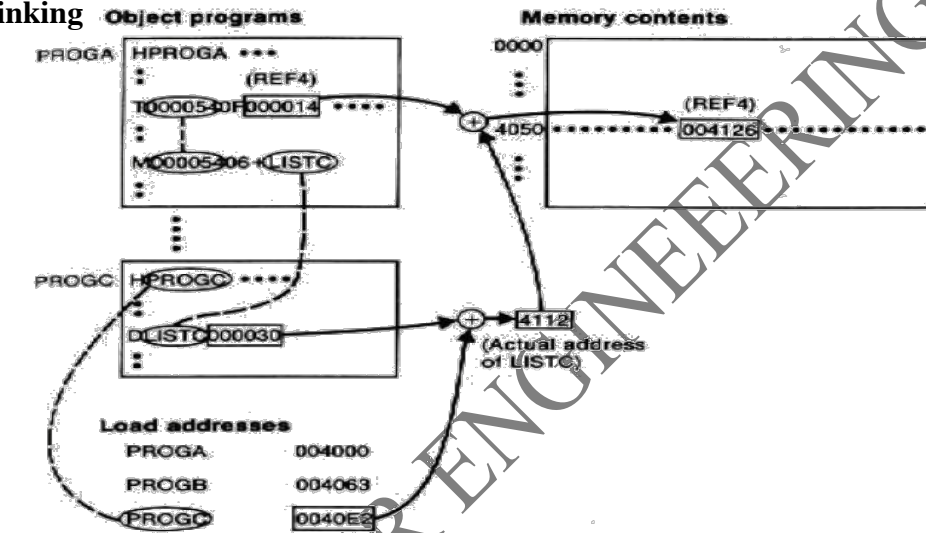
PROGC:

```
HPROGC 000000000051
LLISTC 000030ENDC 000042
RLISTA ENDA LISTE ENDE
*
T0000180C031000007710000405100000
*
T0000420F00003000000000000110000000000000
M00001905+LISTA
M00001D05+LISTE
M00002105+ENDA
M00002105-LISTA
M0000A206+ENDA
M0000A206-LISTA
M0000A206+PROGC
M0000A806+LISTA
M0000A806+ENDA
M0000A806-LISTA
M0000A206-ENDE
M0000A206+LISTE
M0000A206+LISTE
M0000A206-LISTA
E
```

- The reference marked REF2 is processed in a similar manner.
- REF3 is an immediate operand whose value is to be the difference between ENDA and LISTA (that is, the length of the list in bytes).
- In PROGA, the assembler has all of the information necessary to compute this value. During the assembly of PROGB (and PROGC), the values of the labels are unknown.
- In these programs, the expression must be assembled as an external reference (*with two Modification records*) even though the final result will be an absolute value independent of the locations at which the programs are loaded.
- **Consider REF4.**
- The assembler for PROGA can evaluate all of the expression in REF4 except for the value of LISTC. This results in an initial value of '000014'H and one Modification record.

- The same expression in PROGB contains no terms that can be evaluated by the assembler. The object code therefore contains an initial value of 000000 and *three* Modification records.
- For PROGC, the assembler can supply the value of LISTC relative to the beginning of the program (but not the actual address, which is not known until the program is loaded).
- The initial value of this data word contains the relative address of LISTC ('000030'H). Modification records instruct the loader to add the beginning address of the program (i.e., the value of PROGC), to add the value of ENDA, and to subtract the value of LISTA.

Fig (4): The three programs as they might appear in memory after loading and linking



Memory address	Contents			
0000	XXXXXXXX	XXXXXXXX	XXXXXXXX	XXXXXXXX
...
3FF0	XXXXXXXX	XXXXXXXX	XXXXXXXX	XXXXXXXX
4000
4010
4020	03201077	1040C705	0014 ← PROGA
4030
4040
4050	00412600	00080040	51000004
4060	000083
4070
4080
4090	031040	40772027 ← PROGB
40A0	05100014
40B0
40C0
40D0	41260000	08004051	00000400
40E0	0083
40F0	0310	40407710 ← PROGC
4100	40C70510	0014
4110
4120	00412600	00080040	51000004
4130	000083
4140	XXXXXXXX	XXXXXXXX	XXXXXXXX	XXXXXXXX
...

PROGA has been loaded starting at address 4000, with PROGB and PROGC immediately following.

For example, the value for reference REF4 in PROGA is located at address 4054 (the beginning address of PROGA plus 0054).

Fig (5): Relocation and linking operations performed on REF4 in PROGA

The initial value (from the Text record) is 000014. To this is added the address assigned to LISTC, which 4112 (the beginning address of PROGC plus 30).

3.2.3 Algorithm and Data Structures for a Linking Loader

- The algorithm for a *linking loader* is considerably more complicated than the *absolute loader* algorithm.
- A linking loader usually makes *two passes* over its input, just as an assembler does. In terms of general function, the two passes of a linking loader are quite similar to the two passes of an assembler:
 - Pass 1 assigns addresses to all external symbols.
 - Pass 2 performs the actual loading, relocation, and linking.
- The main data structure needed for our linking loader is an *external symbol table* **ESTAB**.
- This table, which is analogous to SYMTAB in our assembler algorithm, is used to store the *name* and *address* of each external symbol in the set of control sections being loaded.
- A *hashed organization* is typically used for this table.
- Two other important variables are **PROGADDR (program load address)** and **CSADDR (control section address)**.
 - (1) PROGADDR is *the beginning address in memory* where the linked program is to be loaded. Its value is supplied to the loader by the OS.
 - (2) CSADDR contains *the starting address* assigned to the control section currently being scanned by the loader. This value is added to all relative addresses within the control section to convert them to actual addresses.

3.2.3.1 PASS 1

- During Pass 1, the loader is concerned only with Header and Define record types in the control sections.

Algorithm for Pass 1 of a Linking loader

Pass 1:

```
begin
get PROGADDR from operating system
set CSADDR to PROGADDR (for first control section)
while not end of input do
begin
read next input record (Header record for control section)
set CSLTH to control section length
search ESTAB for control section name
if found then
set error flag (duplicate external symbol)
else
enter control section name into ESTAB with value CSADDR
while record type ≠ 'E' do
begin
read next input record
if record type = 'D' then
for each symbol in the record do
begin
search ESTAB for symbol name
if found then
set error flag (duplicate external symbol)
else
enter symbol into ESTAB with value
(CSADDR + indicated address)
end (for)
end (while ≠ 'E')
add CSLTH to CSADDR (starting address for next control section)
end (while not EOF)
end (Pass 1)
```

1) The beginning load address for the linked program (PROGADDR) is obtained from the OS. This becomes the starting address (CSADDR) for the first control section in the input sequence.

2) The control section name from Header record is entered into ESTAB, with value given by CSADDR. All **external symbols** appearing in the Define record for the control section are also entered into ESTAB. Their addresses are obtained by adding the value specified in the Define record to CSADDR.

3) When the End record is read, the control section length CSLTH (which was saved from the End record) is added to CSADDR. This calculation gives the starting address for the next control section in sequence.

- At the end of Pass 1, ESTAB contains all external symbols defined in the set of control sections together with the address assigned to each.
- Many loaders include as an option the ability to print a **load map** that shows these symbols and their addresses.

3.2.3.2 PASS 2

- Pass 2 performs the actual *loading*, *relocation*, and *linking* of the program.

Algorithm for Pass 2 of a Linking loader

1) As each Text record is read, the object code is moved to the specified address (plus the current value of CSADDR).

2) When a Modification record is encountered, the symbol whose value is to be used for

modification is looked up in ESTAB.

3) This value is then added to or subtracted from the indicated location in memory.

4) The last step performed by the loader is usually the transferring of control to the loaded program to begin execution.

- The End record for each control section may contain the address of the first instruction in that control section to be executed. Our loader takes this as the transfer point to begin execution. If more than one control section specifies a transfer address, the loader arbitrarily uses the last one encountered.
- If no control section contains a transfer address, the loader uses the beginning of the linked program (i.e., PROGADDR) as the transfer point.
- Normally, a transfer address would be placed in the End record for a main program, but not for a subroutine.

Pass 2:

```
begin
set CSADDR to PROGADDR
set EXECADDR to PROGADDR
while not end of input do
begin
read next input record (Header record)
set CSLTH to control section length
while record type = 'E' do
begin
read next input record
if record type = 'T' then
begin
(if object code is in character form, convert
into internal representation)
move object code from record to location
(CSADDR + specified address)
end (if 'T')
else if record type = 'M' then
begin
search ESTAB for modifying symbol name
if found then
add or subtract symbol value at location
(CSADDR + specified address)
else
set error flag (undefined external symbol)
end (if 'M')
end (while = 'E')
if an address is specified (in End record) then
set EXECADDR to (CSADDR + specified address)
add CSLTH to CSADDR
end (while not EOF)
jump to location given by EXECADDR (to start execution of loaded program)
end (Pass 2)
```

```

HPRGCA 000000000063
QLISTA 000040ENDC 000054
Q2LISTA Q3ENDB Q4LISTC Q5ENDC
:
T0000200A03201077100004050014
:
T0000540E000001AFFFFF600003F000001AFFFFC0
M00002405+02
M00003406+04
M00003706+05
M00003706-04
M00005A06+05
M00005A06-04
M00005A06+01
M00005D06-03
M00005D06+02
M00006006+02
M00006006-01
R000020

HPRGCB 00000000007F
QLISTC 000060ENDB 000070
Q2LISTA Q3ENDB Q4LISTC Q5ENDC
:
T0000360E03100000077202705100000
:
T0000700E000000QFFFFF&FFFFFFF00000060
M00003705+02
M00003E05+03
M00003E05-02
M00007006+03
M00007006-02
M00007006-04
M00007306+03
M00007306-04
M00007606+03
M00007606-04
M00007606+02
M00007906+03
M00007906-02
M00007C06+01
M00007C06-02
E

HPRGCC 000000000051
QLISTC 000030ENDC 000042
Q2LISTA Q3ENDB Q4LISTC Q5ENDB
:
T0000180C0310000007710000405100000
:
T0000420E00000300000008000011000000000000
M00001905+02
M00001B05+04
M00002105+03
M00002105-02
M00004206+03
M00004206-02
M00004206+01
M00004506+02
M00004506+03
M00004506-02
M00004506-03
M00004E06+04
M00004E06-04
M00004E06-02
E

```

Fig (6): Object programs using reference numbers for code modification

- This algorithm can be made more efficient. Assign a reference number, which is used (instead of the symbol name) in Modification records, to each external symbol referred to in a control section. Suppose we always assign the reference number 01 to the control section name.

3.3 MACHINE-INDEPENDENT LOADER FEATURES

- Loading and linking are often thought of as OS service functions. Therefore, most loaders include fewer different features than are found in a typical assembler.
- They include the use of an automatic library search process for handling external reference and some common options that can be selected at the time of loading and linking.

3.3.1 Automatic Library Search

- Many linking loaders can automatically incorporate routines from a subprogram library into the program being loaded.
- Linking loaders that support *automatic library search* must keep track of external symbols that are referred to, but not defined, in the primary input to the loader.
- At the end of Pass 1, the symbols in ESTAB that remain undefined represent unresolved external references.
- The loader searches the library or libraries specified for routines that contain the definitions of these symbols, and processes the subroutines found by this search exactly as if they had been part of the primary input stream.
- The subroutines fetched from a library in this way may themselves contain external references. It is therefore necessary to repeat the library search process until all references are resolved.
- If unresolved external references remain after the library search is completed, these must be treated as errors.

3.3.2 Loader Options

- Many loaders allow the user to specify options that modify the standard processing
- **Typical loader option 1:** Allows the selection of alternative sources of input.

Ex : INCLUDE program-name (library-name) might direct the loader to read the designated object program from a library and treat it as if it were part of the primary loader input.

- **Loader option 2:** Allows the user to delete external symbols or entire control sections.

Ex : DELETE csect-name might instruct the loader to delete the named control section(s) from the set of programs being loaded.

CHANGE name1, name2 might cause the external symbol name1 to be changed to name2 wherever it appears in the object programs.

- **Loader option 3:** Involves the automatic inclusion of library routines to satisfy external references.

Ex. : LIBRARY MYLIB

Such user-specified libraries are normally searched before the standard system libraries. This allows the user to use special versions of the standard routines.

NOCALL STDDEV, PLOT, CORREL

- To instruct the loader that these external references are to remain unresolved. This avoids the overhead of loading and linking the unneeded routines, and saves the memory space that would otherwise be required.

3.4 LOADER DESIGN OPTIONS

- Linking loaders perform all linking and relocation at load time.
- There are two alternatives:
 1. **Linkage editors**, which perform linking prior to load time.
 2. **Dynamic linking**, in which the linking function is performed at execution time.
- Precondition: The source program is first assembled or compiled, producing an object program.
- A **linking loader** performs all linking and relocation operations, including automatic library search if specified, and loads the linked program directly into memory for execution.
- A **linkage editor** produces a linked version of the program (load module or executable image), which is written to a file or library for later execution.

3.4.1 Linkage Editors

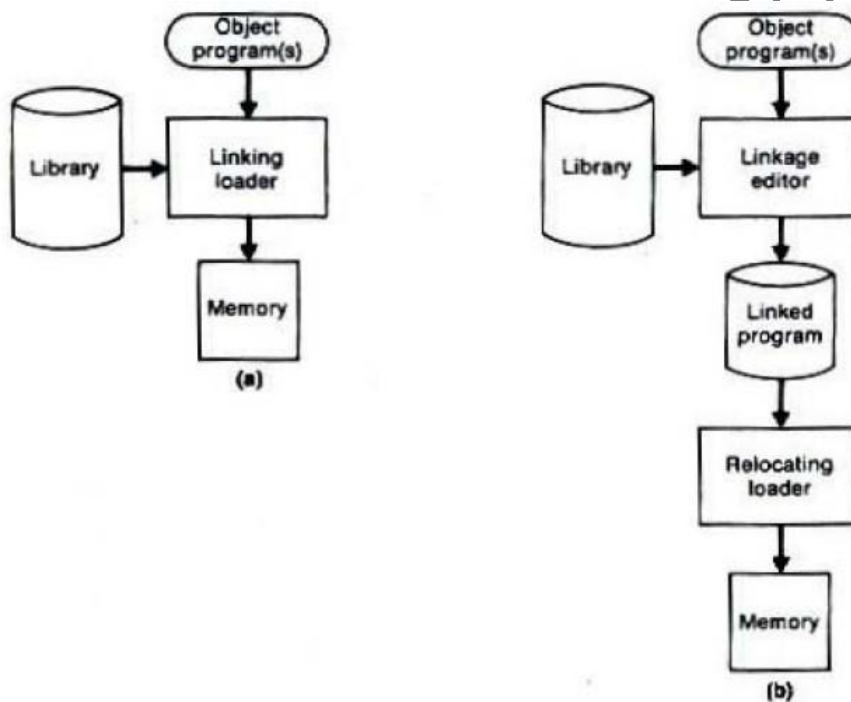
- The linkage editor performs relocation of all control sections relative to the start of the linked program. Thus, all items that need to be modified at load time have values that are relative to the start of the linked program.
- This means that the loading can be accomplished in one pass with no external symbol table required.
- If a program is to be executed many times without being reassembled, the use of a linkage editor substantially reduces the overhead required.
- Linkage editors can perform many useful functions besides simply preparing an

object program for execution. Ex., a typical sequence of linkage editor commands used:

```
INCLUDE PLANNER (PROGLIB)
DELETE PROJECT {delete from existing PLANNER}
INCLUDE PROJECT (NEWLIB) {include new version}
REPLACE PLANNER (PROGLIB)
```

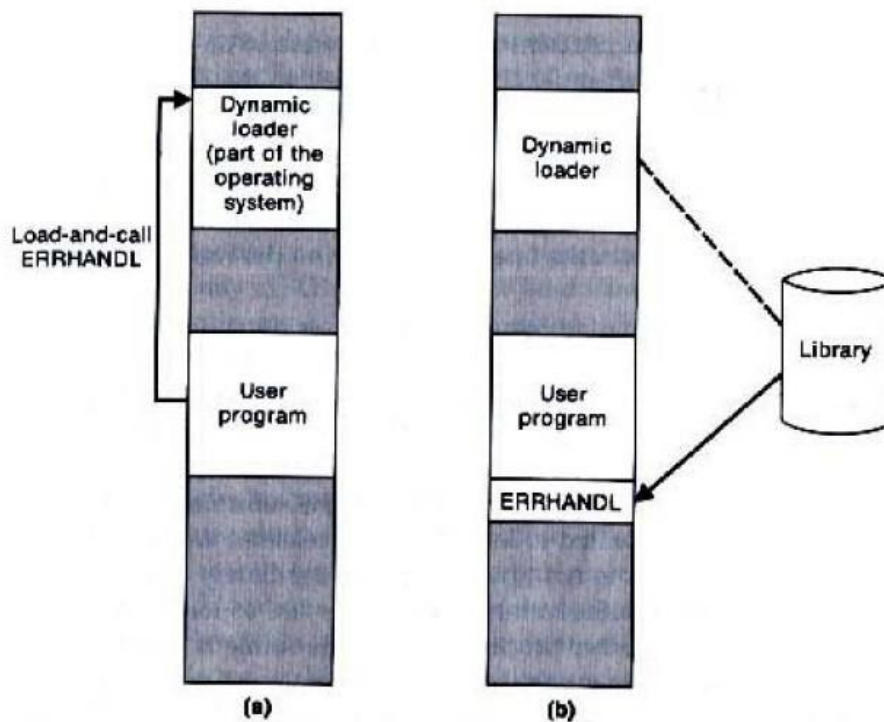
- Linkage editors can also be used to build packages of subroutines or other control sections that are generally used together. This can be useful when dealing with subroutine libraries that support high-level programming languages.
- Linkage editors often include a variety of other options and commands like those discussed for linking loaders. Compared to linking loaders, linkage editors in general tend to offer more flexibility and control.

Fig (7): Processing of an object program using (a) Linking loader and (b) Linkage editor



3.4.2 Dynamic Linking

- Linkage editors perform linking operations before the program is loaded for execution.
- Linking loaders perform these same operations at load time.
- Dynamic linking, dynamic loading, or load on call postpones the linking function until execution time: a subroutine is loaded and linked to the rest of the program when it is first called.
- Dynamic linking is often used to allow several executing programs to share one copy of a subroutine or library, ex. run-time support routines for a high-level language like C.
- With a program that allows its user to interactively call any of the subroutines of a large mathematical and statistical library, all of the library subroutines could potentially be needed, but only a few will actually be used in any one execution.
- Dynamic linking can avoid the necessity of loading the entire library for each execution except those necessary subroutines.



FR ^

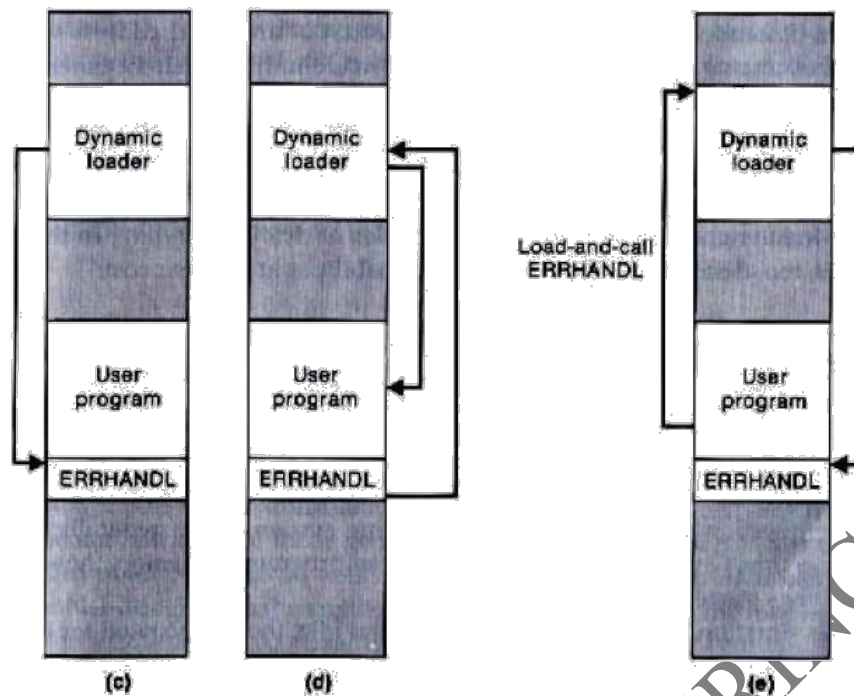


Fig (a): Instead of executing a JSUB instruction referring to an external symbol, the program makes a load-and-call service request to OS. The parameter of this request is the symbolic name of the routine to be called.

Fig (b): OS examines its internal tables to determine whether or not the routine is already loaded. If necessary, the routine is loaded from the specified user or system libraries.

Fig (c): Control is then passed from OS to the routine being called

Fig (d): When the called subroutine completes its processing, it returns to its caller (i.e., OS). OS then returns control to the program that issued the request.

Fig (e): If a subroutine is still in memory, a second call to it may not require another load operation. Control may simply be passed from the dynamic loader to the called routine.

3.4.3 Bootstrap Loaders

- With the machine empty and idle there is no need for program relocation.
- We can specify the absolute address for whatever program is first loaded and this will be the OS, which occupies a predefined location in memory.
- We need some means of accomplishing the functions of an absolute loader.
 1. To have the operator enter into memory the object code for an absolute loader, using switches on the computer console.

2. To have the absolute loader program permanently resident in a ROM.
 3. To have a built –in hardware function that reads a fixed –length record from some device into memory at a fixed location.
- When some hardware signal occurs, the machine begins to execute this ROM program.
 - On some computers, the program is executed directly in the ROM: on others, the program is copied from ROM to main memory and executed there.
 - The particular device to be used can often be selected via console switches.
 - After the read operation is complete, control is automatically transferred to the address in memory where the record was stored, which contains machine instructions that load the absolute program that follow.
 - If the loading process requires more instructions that can be read in a single record, this first record causes the reading of others, and these in turn can cause the reading of still more records – boots trap.
 - The first record is generally referred to as bootstrap loader:
 - Such a loader is added to the beginning of all object programs that are to be loaded into an empty and idle system.
 - This includes the OS itself and all stand-alone programs that are to be run without an OS.

3.5 IMPLEMENTATION EXAMPLE-MSDOS LINKER

MS-DOS Linker This explains some of the features of Microsoft MS-DOS linker, which is a linker for Pentium and other x86 systems. Most MS-DOS compilers and assemblers (MASM) produce object modules, and they are stored in .OBJ files. MS-DOS LINK is a linkage editor that combines one or more object modules to produce a complete executable program - .EXE file; this file is later executed for results.

The following table illustrates the typical MS-DOS object module

- » THEADER similar to Header record in SIC/XE
- » MODEND similar to End record in SIC/XE
- » TYPDEF data type
- » PUBDEF similar to Define record in SIC/XE

- » EXTDEF similar to Reference record in SIC/XE
- » L NAMES contain a list of segments and class names
- » SEGDEF segment define
- » GRPDEF specify how segments are grouped
- » LEDATA similar to Text Record in SIC/XE
- » LIDATA specify repeated instructions
- » FIXUPP similar to Modification record in SIC/XE

THEADR specifies the name of the object module. MODEND specifies the end of the module. PUBDEF contains list of the external symbols (called public names). EXTDEF contains list of external symbols referred in this module, but defined elsewhere. TYPDEF the data types are defined here. SEGDEF describes segments in the object module (includes name, length, and alignment). GRPDEF includes how segments are combined into groups. L NAMES contains all segment and class names. LEDATA contains translated instructions and data. LIDATA has above in repeating pattern. Finally, FIXUPP is used to resolve external references.

Suppose that the SIC assembler language is changed to include a new form of the RESB statement, such as

RESB n'c'

which reserves n bytes of memory and initializes all of these bytes to the character 'c'. For example

BUFFER RESB 4096'

This feature could be implemented by simply generating the required number of bytes in Text records. However, this could lead to a large increase in the size of the object program.

Pass 1

- » compute a starting address for each segment in the program
 - segment from different object modules that have the same segment name and class are combined
 - segments with the same class, but different names are concatenated

- a segment's starting address is updated as these combinations and concatenations are performed

Pass 2

- » extract the translated instructions from the object modules
- » build an image of the executable program in memory
- » write it to the executable (.EXE) file

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UNIT IV

MACROPROCESSORS

INTRODUCTION

Macro Instructions

- A macro instruction (macro)
 - It is simply a notational convenience for the programmer to write a shorthand version of a program.
 - It represents a commonly used group of statements in the source program.
 - It is replaced by the macro processor with the corresponding group of source language statements. This operation is called “expanding the macro”
- For example:
 - Suppose it is necessary to save the contents of all registers before calling a subroutine.
 - This requires a sequence of instructions.
 - We can define and use a macro, SAVEREGS, to represent this sequence of instructions.

Macro Processor

- A macro processor
- Its functions essentially involve the substitution of one group of characters or lines for another.
- Normally, it performs no analysis of the text it handles.
- It doesn't concern the meaning of the involved statements during macro expansion.
- Therefore, the design of a macro processor generally is machine independent.
- Macro processors are used in
 - assembly language
 - high-level programming languages, e.g., C or C++
 - OS command languages
 - general purpose

Format of macro definition

A macro can be defined as follows

MACRO - MACRO pseudo-op shows start of macro definition.

Name [List of Parameters] – Macro name with a list of formal parameters.

.....
.....
.....

_ Sequence of assembly language instructions.

MEND - MEND (MACRO-END) Pseudo shows the end of macro definition.

Example:

```
MACRO
    SUM X,Y
    LDA X
    MOV BX,X
    LDA Y
    ADD BX
MEND
```

4.1 BASIC MACROPROCESSOR FUNCTIONS

The fundamental functions common to all macro processors are:

1. Macro Definition
2. Macro Invocation
3. Macro Expansion

4.1.1 Macro Definition and Expansion

- Two new assembler directives are used in macro definition:
- MACRO: identify the beginning of a macro definition
- MEND: identify the end of a macro definition
- Prototype for the macro:

- o Each parameter begins with '&'

```
label    op    operands
name    MACRO parameters
      :
      body
      :
      MEND
```

Body: The statements that will be generated as the expansion of the macro.

```

5      COPY      START  0          COPY FILE FROM INPUT TO OUTPUT
10     RDBUFF    MACRO   &INDEV,&BUFADR,&RECLTH
15     .
20     .          MACRO TO READ RECORD INTO BUFFER
25     .
30     CLEAR     X          CLEAR LOOP COUNTER
35     CLEAR     A
40     CLEAR     S
45     +LDT      #4096      SET MAXIMUM RECORD LENGTH
50     TD        =X'&INDEV' TEST INPUT DEVICE
55     JEQ       *-3        LOOP UNTIL READY
60     RD        =X'&INDEV' READ CHARACTER INTO REG A
65     COMPR     A,S        TEST FOR END OF RECORD
70     JEQ       *+11       EXIT LOOP IF EOR
75     STCH      &BUFADR,X  STORE CHARACTER IN BUFFER
80     TIXR      T          LOOP UNTIL MAXIMUM LENGTH
85     JLT       *-19       HAS BEEN REACHED
90     STX       &RECLTH   SAVE RECORD LENGTH
95     MEND
-----
100    WRBUFF    MACRO   &OUTDEV,&BUFADR,&RECLTH
105    .
110    .          MACRO TO WRITE RECORD FROM BUFFER
115    .
120    CLEAR     X          CLEAR LOOP COUNTER
125    LDT       &RECLTH
130    LDCH      &BUFADR,X  GET CHARACTER FROM BUFFER
135    TD        =X'&OUTDEV' TEST OUTPUT DEVICE
140    JEQ       *-3        LOOP UNTIL READY
145    WD        =X'&OUTDEV' WRITE CHARACTER
150    TIXR      T          LOOP UNTIL ALL CHARACTERS
155    JLT       *-14       HAVE BEEN WRITTEN
160    MEND
165

```

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- It shows an example of a SIC/XE program using macro Instructions.
- This program defines and uses two macro instructions, RDBUFF and WRDUFF .
- The functions and logic of RDBUFF macro are similar to those of the RDBUFF subroutine.
- The WRBUFF macro is similar to WRREC subroutine.
- Two Assembler directives (MACRO and MEND) are used in macro definitions.
- The first MACRO statement identifies the beginning of macro definition.
- The Symbol in the label field (RDBUFF) is the name of macro, and entries in the operand field identify the parameters of macro instruction.
- In our macro language, each parameter begins with character &, which facilitates the substitution of parameters during macro expansion.
- The macro name and parameters define the pattern or prototype for the macro instruction used by the programmer. The macro instruction definition has been deleted since they have been no longer needed after macros are expanded.
- Each macro invocation statement has been expanded into the statements that form the body of the macro, with the arguments from macro invocation substituted for the parameters in macro prototype.
- The arguments and parameters are associated with one another according to their positions.

Macro Invocation

- A macro invocation statement (a macro call) gives the name of the macro instruction being invoked and the arguments in expanding the macro.
- The processes of macro invocation and subroutine call are quite different.
 - Statements of the macro body are expanded each time the macro is invoked.
 - Statements of the subroutine appear only one; regardless of how many times the subroutine is called.
- The macro invocation statements treated as comments and the statements generated from macro expansion will be assembled as though they had been written by the programmer.

5	COPY	START	0	COPY FILE FROM INPUT TO OUTPUT
180	FIRST	STL	RETADR	SAVE RETURN ADDRESS
190	.CLOOP	RDBUFF	F1, BUFFER, LENGTH	READ RECORD INTO BUFFER
190a	CLOOP	CLEAR	X	CLEAR LOOP COUNTER
190b		CLEAR	A	
190c		CLEAR	S	
190d		+LDT	#4096	SET MAXIMUM RECORD LENGTH
190e		TD	=X'F1'	TEST INPUT DEVICE
190f		JEQ	*-3	LOOP UNTIL READY
190g		RD	=X'F1'	READ CHARACTER INTO REG A
190h		COMPR	A,S	TEST FOR END OF RECORD
190i		JEQ	*+11	EXIT LOOP IF EOR
190j		STCH	BUFFER,X	STORE CHARACTER IN BUFFER
190k		TLXR	T	LOOP UNLESS MAXIMUM LENGTH
190l		JLT	*-19	HAS BEEN REACHED
190m		STL	LENGTH	SAVE RECORD LENGTH

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```

170 .      MAIN PROGRAM
175 .
180 FIRST  STL      RETADR      SAVE RETURN ADDRESS
190 CLOOP  RDBUFF  F1,BUFFER,LENGTH READ RECORD INTO BUFFER
195       LDA      LENGTH      TEST FOR END OF FILE
200       COMP    #0
205       JEQ     ENDFIL      EXIT IF EOF FOUND
210       WRBUFF  05,BUFFER,LENGTH WRITE OUTPUT RECORD
215       J       CLOOP      LOOP
220 ENDFIL  WRBUFF  05,EOF,THREE  INSERT EOF MARKER
225       J       @RETADR
230 EOF    BYTE    C'EOF'
235 THREE WORD    3
240 RETADR RESW    1
245 LENGTH RESW    1          LENGTH OF RECORD
250 BUFFER RESB    4096      4096-BYTE BUFFER AREA
255       END      FIRST

```

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Macro Expansion

- Each macro invocation statement will be expanded into the statements that form the body of the macro.
- Arguments from the macro invocation are substituted for the parameters in the macro prototype.
 - The arguments and parameters are associated with one another according to their positions.

The first argument in the macro invocation corresponds to the first parameter in the macro prototype, etc.
- Comment lines within the macro body have been deleted, but comments on individual statements have been retained.
- Macro invocation statement itself has been included as a comment line.

Example of a macro expansion

- In expanding the macro invocation on line 190, the argument F1 is substituted for the parameter and INDEV wherever it occurs in the body of the macro.
- Similarly BUFFER is substituted for BUFADR and LENGTH is substituted for RECLTH.
- Lines 190a through 190m show the complete expansion of the macro invocation on line 190.
- The label on the macro invocation statement CLOOP has been retained as a label on the first statement generated in the macro expansion.
- This allows the programmer to use a macro instruction in exactly the same way as an assembler language mnemonic.
- After macro processing the expanded file can be used as input to assembler.
- The macro invocation statement will be treated as comments and the statements generated from the macro expansions will be assembled exactly as though they had been written directly by the programmer.

4.1.2 Macro Processor Algorithm and Data Structures

- It is easy to design a two-pass macro processor in which all macro definitions are processed during the first pass ,and all macro invocation statements are expanded during second pass
- Such a two pass macro processor would not allow the body of one macro instruction to contain definitions of other macros.

Example 1:

```
1  MACROS      MACRO      {Defines SIC standard version macros}
2  RDBUFF      MACRO      &INDEV, &BUFADR, &RECLTH
    .
    .                  {SIC standard version}
    .
3  .           MEND       {End of RDBUFF}
4  WRBUFF      MACRO      &OUTDEV, &BUFADR, &RECLTH
    .
    .                  {SIC standard version}
    .
5  .           MEND       {End of WRBUFF}
    .
    .
6  .           MEND       {End of MACROS}
```

Example 2:

```
1  MACROX      MACRO      {Defines SIC/XE macros}
2  RDBUFF      MACRO      &INDEV, &BUFADR, &RECLTH
    .
    .                  {SIC/XE version}
    .
3  .           MEND       {End of RDBUFF}
4  WRBUFF      MACRO      &OUTDEV, &BUFADR, &RECLTH
    .
    .                  {SIC/XE version}
    .
5  .           MEND       {End of WRBUFF}
    .
    .
6  .           MEND       {End of MACROX}
```

- Defining MACROS or MACROX does not define RDBUFF and the other macro instructions. These definitions are processed only when an invocation of MACROS or MACROX is expanded.
- A one pass macroprocessor that can alternate between macro definition and macro expansion is able to handle macros like these.
- There are 3 main data structures involved in our macro processor.

Definition table (DEFTAB)

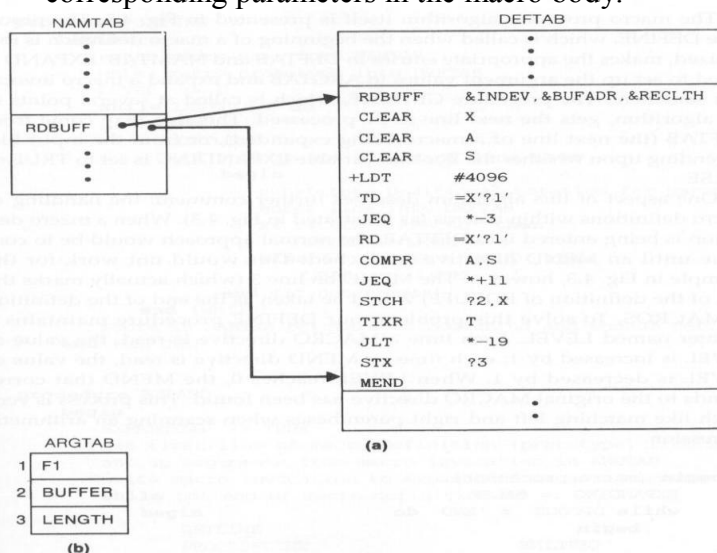
1. The macro definition themselves are stored in definition table (DEFTAB), which contains the macro prototype and statements that make up the macro body.
2. Comment lines from macro definition are not entered into DEFTAB because they will not be a part of macro expansion.

Name table (NAMTAB)

1. References to macro instruction parameters are converted to a positional entered into NAMTAB, which serves the index to DEFTAB.
2. For each macro instruction defined, NAMTAB contains pointers to beginning and end of definition in DEFTAB.

Argument table (ARGTAB)

1. The third Data Structure in an argument table (ARGTAB), which is used during expansion of macro invocations.
2. When macro invocation statements are recognized, the arguments are stored in ARGTAB according to their position in argument list.
3. As the macro is expanded, arguments from ARGTAB are substituted for the corresponding parameters in the macro body.



- The position notation is used for the parameters. The parameter &INDEV has been converted to ?1, &BUFADR has been converted to ?2.
- When the ?n notation is recognized in a line from DEFTAB, a simple indexing operation supplies the property argument from ARGTAB.

Algorithm:

- The procedure DEFINE, which is called when the beginning of a macro definition is recognized, makes the appropriate entries in DEFTAB and NAMTAB.
- EXPAND is called to set up the argument values in ARGTAB and expand a macro invocation statement.
- The procedure GETLINE gets the next line to be processed.
- This line may come from DEFTAB or from the input file, depending upon whether the Boolean variable EXPANDING is set to TRUE or FALSE.

```

procedure EXPAND
begin
    EXPANDING := TRUE
    get first line of macro definition (prototype) from DEFTAB
    set up arguments from macro invocation in ARGTAB
    write macro invocation to expanded file as a comment
    while not end of macro definition do
        begin
            GETLINE
            PROCESSLINE
        end (while)
    EXPANDING := FALSE
end (EXPAND)

procedure GETLINE
begin
    if EXPANDING then
        begin
            get next line of macro definition from DEFTAB
            substitute arguments from ARGTAB for positional notation
        end (if)
    else
        read next line from input file
    end (GETLINE)
end (GETLINE)

```

Figure 4.5 (cont'd)

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4.2 MACHINE INDEPENDENT MACRO PROCESSOR FEATURES

Machine independent macro processor features are extended features that are not directly related to architecture of computer for which the macro processor is written.

4.2.1 Concatenation of Macro Parameter

- Most Macro Processor allows parameters to be concatenated with other character strings.
- A program contains a set of series of variables:
XA1, XA2, XA3,...
XB2, XB3,...
- If similar processing is to be performed on each series of variables, the programmer might want to incorporate this processing into a macro instruction.
- The parameter to such a macro instruction could specify the series of variables to be operated on (A, B, C ...).
- The macro processor constructs the symbols by concatenating X, (A, B, ...), and (1,2,3,...) in the macro expansion.
- Suppose such parameter is named &ID, the macro body may contain a statement: LDA X&ID1, in which &ID is concatenated after the string "X" and before the string "1".
 - LDA XA1 (&ID=A)
 - LDA XB1 (&ID=B)
- Ambiguity problem.
E.g., X&ID1 may mean
"X" + &ID + "1"
"X" + &ID1
This problem occurs because the end of the parameter is not marked.
- Solution to this ambiguity problem:
Use a special concatenation operator " " to specify the end of the parameter
LDA X&ID 1
So that the end of parameter &ID is clearly identified.

Macro definition

```
1 SUM      MACRO    &ID
2          LDA      X&ID→1
3          ADD      X&ID→2
4          ADD      X&ID→3
5          STA      X&ID→S
6          MEND
```

Macro invocation statements

```
SUM      A:
↓
LDA      XA1
ADD      XA2
ADD      XA3
STA      XAS
```

- The macroprocessor deletes all occurrences of the concatenation operator immediately after performing parameter substitution, so the character will not appear in the macro expansion.

4.2.2 Generation of Unique Labels

- Labels in the macro body may cause “duplicate labels” problem if the macro is invoked and expanded multiple times.
- Use of relative addressing at the source statement level is very inconvenient, error-prone, and difficult to read.
- It is highly desirable to
 - Let the programmer use label in the macro body
 - Labels used within the macro body begin with \$.
 - Let the macro processor generate unique labels for each macro invocation and expansion.
 - During macro expansion, the \$ will be replaced with \$xx, where xx is a two-character alphanumeric counter of the number of macro instructions expanded.
 - XX=AA, AB, AC

Consider the definition of WRBUFF

```

5      COPY      START      0
      :
      :
135    TD                =X '&OUTDEV'
      :
140    JEQ                *-3
      :
155    JLT                *-14
      :
255    END                FIRST

```

- If a label was placed on the TD instruction on line 135, this label would be defined twice, once for each invocation of WRBUFF.
- This duplicate definition would prevent correct assembly of the resulting expanded program.
- The jump instructions on line 140 and 155 are written using the relative operands *-3 and *-14, because it is not possible to place a label on line 135 of the macro definition.
- This relative addressing may be acceptable for short jumps such as “JEQ *-3”
- For longer jumps spanning several instructions, such notation is very inconvenient, error-prone and difficult to read.
- Many macroprocessors avoid these problems by allowing the creation of special types of labels within macro instructions.

RDBUFF definition

```

25  RDBUFF  MACRO  &INDEV, &BUFADR, &RECLTH
30          CLEAR  X          CLEAR LOOP COUNTER
35          CLEAR  A
40          CLEAR  S
45          +LDT   #4096      SET MAXIMUM RECORD LENGTH
50  $LOOP   TD     =X'&INDEV'  TEST INPUT DEVICE
55          JEQ    $LOOP      LOOP UNTIL READY
60          RD     =X'&INDEV'  READ CHARACTER INTO REG A
65          COMPR  A, S       TEST FOR END OF RECORD
70          JEQ    $EXIT      EXIT LOOP IF EOR
75          STCH   &BUFADR, X  STORE CHARACTER IN BUFFER
80          TIXR  T          LOOP UNLESS MAXIMUM LENGTH
85          JLT   $LOOP      HAS BEEN REACHED
90  $EXIT   STX    &RECLTH    SAVE RECORD LENGTH
95          MEND

```

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- Labels within the macro body begin with the special character \$.

Macro expansion

```

RDBUFF F1,BUFFER,LENGTH

30      CLEAR X          CLEAR LOOP COUNTER
35      CLEAR A
40      CLEAR S
45      +LDT #4096       SET MAXIMUM RECORD LENGTH
50      $AALoop TD      =X'F1' TEST INPUT DEVICE
55      JEQ $AAEXIT     LOOP UNTIL READY
60      RD =X'F1'       READ CHARACTER INTO REG A
65      COMPR A,S       TEST FOR END OF RECORD
70      JEQ $AAEXIT     EXIT LOOP IF EOR
75      STCH BUFFER,X   STORE CHARACTER IN BUFFER
80      TIXR T          LOOP UNLESS MAXIMUM LENGTH
85      JLT $AALoop     HAS BEEN REACHED
90      $AAEXIT STX     LENGTH SAVE RECORD LENGTH

```

- Unique labels are generated within macro expansion.
- Each symbol beginning with \$ has been modified by replacing \$ with \$AA.
- The character \$ will be replaced by \$xx, where xx is a two-character alphanumeric counter of the number of macro instructions expanded.
- For the first macro expansion in a program, xx will have the value AA. For succeeding macro expansions, xx will be set to AB, AC etc.

4.2.3 Conditional Macro Expansion

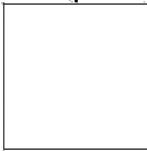
- Arguments in macro invocation can be used to:
 - o Substitute the parameters in the macro body without changing the sequence of statements expanded.
 - o Modify the sequence of statements for **conditional macro expansion** (or conditional assembly when related to assembler).
- This capability adds greatly to the power and flexibility of a macro language.

```

25  RDBUFF  MACRO  &INDEV, &BUFADR, &RECLTH, &EOR, &MAXLTH
26          IF    (&EOR NE '')
27          SET   &EORCK 1
28          ENDIF
30          CLEAR X          CLEAR LOOP COUNTER
35          CLEAR A
38          IF    (&EORCK EQ 1)
40          LDCH  =X'&EOR'   SET EOR CHARACTER
42          RMO  A,S
43          ENDIF
44          IF    (&MAXLTH EQ '')
45          +LDT  #4096      SET MAX LENGTH = 4096
46          ELSE
47          +LDT  #&MAXLTH   SET MAXIMUM RECORD LENGTH
48          ENDIF
50  $LOOP   TD    =X'&INDEV'  TEST INPUT DEVICE
55         JEQ   $LOOP      LOOP UNTIL READY
60         RD    =X'&INDEV'  READ CHARACTER INTO REG A
63         IF    (&EORCK EQ 1)
65         COMP  A,S        TEST FOR END OF RECORD
70         JEQ   $EXIT      EXIT LOOP IF EOR
73         ENDIF
75         STCH  &BUFADR, X  STORE CHARACTER IN BUFFER
80         TIXR  T          LOOP UNLESS MAXIMUM LENGTH
85         JLT   $LOOP      HAS BEEN REACHED
90  $EXIT   STX   &RECLTH   SAVE RECORD LENGTH
95         MEND

```

Consider the example



- Two additional parameters used in the example of conditional macro expansion
 - o &EOR: specifies a hexadecimal character code that marks the end of a record
 - o &MAXLTH: specifies the maximum length of a record
- Macro-time variable (SET symbol)
 - o can be used to
 - store working values during the macro expansion
 - store the evaluation result of Boolean expression
 - control the macro-time conditional structures
 - o begins with “&” and that is not a macro instruction parameter
 - o be initialized to a value of 0
 - o be set by a macro processor directive, SET

- Macro-time conditional structure
 - IF-ELSE-ENDIF
 - WHILE-ENDW

4.2.3.1 Implementation of Conditional Macro Expansion (IF-ELSE-ENDIF Structure)

- A symbol table is maintained by the macroprocessor.
 - This table contains the values of all macro-time variables used.
 - Entries in this table are made or modified when SET statements are processed.
 - This table is used to look up the current value of a macro-time variable whenever it is required.
- The testing of the condition and looping are done while the macro is being expanded.
- When an IF statement is encountered during the expansion of a macro, the specified Boolean expression is evaluated. If value is
 - TRUE
The macro processor continues to process lines from DEFTAB until it encounters the next ELSE or ENDIF statement. If ELSE is encountered, then skips to ENDIF
 - FALSE
The macro processor skips ahead in DEFTAB until it finds the next ELSE or ENDLF statement.

4.2.3.2 Implementation of Conditional Macro Expansion (WHILE-ENDW Structure)

- When an WHILE statement is encountered during the expansion of a macro, the specified Boolean expression is evaluated. If value is
 - TRUE
The macro processor continues to process lines from DEFTAB until it encounters the next ENDW statement.

When ENDW is encountered, the macro processor returns to the preceding WHILE, re-evaluates the Boolean expression, and takes action again.
 - FALSE
The macro processor skips ahead in DEFTAB until it finds the next

ENDW statement and then resumes normal macro expansion.

4.2.4 Keyword Macro Parameters

- **Positional parameters**

- o Parameters and arguments are associated according to their positions in the macro prototype and invocation. The programmer must specify the arguments in proper order.
- o If an argument is to be omitted, a null argument should be used to maintain the proper order in macro invocation statement.
- o For example: Suppose a macro instruction GENER has 10 possible parameters, but in a particular invocation of the macro only the 3rd and 9th parameters are to be specified.
- o The statement is GENER „DIRECT,,,,,,3.
- o It is not suitable if a macro has a large number of parameters, and only a few of these are given values in a typical invocation.

- **Keyword parameters**

- o Each argument value is written with a keyword that names the corresponding parameter.
- o Arguments may appear in any order.
- o Null arguments no longer need to be used.
- o If the 3rd parameter is named &TYPE and 9th parameter is named &CHANNEL, the macro invocation would be GENER TYPE=DIRECT,CHANNEL=3.
- o It is easier to read and much less error-prone than the positional method.

Consider the example

- Here each parameter name is followed by equal sign, which identifies a keyword parameter and a default value is specified for some of the parameters.

```

25  RDBUFF  MACRO  &INDEV=F1,&BUFADR=,&RECLTH=,&EOR=04,&MAXLTH=4096
26          IF    (&EOR NE '')
27  &EORCK  SET    1
28          ENDIF
30          CLEAR X          CLEAR LOOP COUNTER
35          CLEAR A
38          IF    (&EORCK EQ 1)
40          LDCH  =X'&EOR'    SET EOR CHARACTER
42          RMO  A,S
43          ENDIF
47          +LDT  #&MAXLTH    SET MAXIMUM RECORD LENGTH
50  $LOOP   TD    =X'&INDEV'   TEST INPUT DEVICE
55          JEQ  $LOOP        LOOP UNTIL READY
60          RD   =X'&INDEV'   READ CHARACTER INTO REG A
63          IF    (&EORCK EQ 1)
65          COMPR A,S        TEST FOR END OF RECORD
70          JEQ  $EXIT        EXIT LOOP IF EOR
73          ENDIF
75          STCH  &BUFADR,X    STORE CHARACTER IN BUFFER
80          TIXR T          LOOP UNLESS MAXIMUM LENGTH
85          JLT  $LOOP        HAS BEEN REACHED
90  $EXIT   STX  &RECLTH     SAVE RECORD LENGTH
95          MEND

```

RDBUFF BUFADR=BUFFER,RECLTH=LENGTH

```

30          CLEAR X          CLEAR LOOP COUNTER
35          CLEAR A
40          LDCH  =X'04'      SET EOR CHARACTER
42          RMO  A,S
47          +LDT  #4096      SET MAXIMUM RECORD LENGTH
50  $AALoop TD    =X'F1'     TEST INPUT DEVICE
55          JEQ  $AALoop    LOOP UNTIL READY
60          RD   =X'F1'     READ CHARACTER INTO REG A
65          COMPR A,S        TEST FOR END OF RECORD
70          JEQ  $AEXIT     EXIT LOOP IF EOR
75          STCH  BUFFER,X   STORE CHARACTER IN BUFFER
80          TIXR T          LOOP UNLESS MAXIMUM LENGTH
85          JLT  $AALoop    HAS BEEN REACHED
90  $AEXIT  STX  LENGTH     SAVE RECORD LENGTH

```

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Here the value of &INDEV is specified as F3 and the value of &EOR is specified as null.

Macro within macro

It allows the definition of macro statements inside the assembly language program or macro.

4.3. MACROPROCESSOR DESIGN OPTIONS

4.3.1 Recursive Macro Expansion

```
10  RDBUFF  MACRO  &BUFADR, &RECLTH, &INDEV
15  .
20  .      MACRO TO READ RECORD INTO BUFFER
25  .
30          CLEAR  X          CLEAR LOOP COUNTER
35          CLEAR  A
40          CLEAR  S
45          +LDT   #4096      SET MAXIMUM RECORD LENGTH
50  $LOOP  RDCHAR  &INDEV
-----
65          COMPR  A, S      TEST FOR END OF RECORD
70          JEQ    $EXIT     EXIT LOOP IF EOR
75          STCH   &BUFADR, X STORE CHARACTER IN BUFFER
80          TIXR   T          LOOP UNLESS MAXIMUM LENGTH
85          JLT    $LOOP     HAS BEEN REACHED
90  $EXIT  STX     &RECLTH   SAVE RECORD LENGTH
95          MEND
```

```
5  RDCHAR  MACRO  &IN
10  .
15  .      MACRO TO READ CHARACTER INTO REGISTER A
20  .
25          TD     =X'&IN'   TEST INPUT DEVICE
30          JEQ    *-3       LOOP UNTIL READY
35          RD     =X'&IN'   READ CHARACTER
40          MEND
```

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- RDCHAR:
 - read one character from a specified device into register A
 - should be defined beforehand (i.e., before RDBUFF)

Implementation of Recursive Macro Expansion

- Previous macro processor design cannot handle such kind of recursive macro
 - invocation and expansion, e.g., RDBUFF BUFFER, LENGTH, F1
- Reasons:
 - The procedure EXPAND would be called recursively, thus the invocation arguments in the ARGTAB will be overwritten.
 - The Boolean variable EXPANDING would be set to FALSE when the “inner” macro expansion is finished, that is, the macro process would forget that it had been in the middle of expanding an “outer” macro.
 - A similar problem would occur with PROCESSLINE since this procedure too would be called recursively.
- Solutions:
 - Write the macro processor in a programming language that allows recursive calls, thus local variables will be retained.
 - Use a stack to take care of pushing and popping local variables and return addresses.
- Another problem: can a macro invoke itself recursively?

4.3.2 One-Pass Macro Processor

- A one-pass macro processor that alternate between macro definition and macro expansion in a recursive way is able to handle recursive macro definition.
- Because of the one-pass structure, the definition of a macro must appear in the source program before any statements that invoke that macro.

Handling Recursive Macro Definition

- In DEFINE procedure
 - When a macro definition is being entered into DEFTAB, the normal approach is to continue until an MEND directive is reached.
 - This would not work for recursive macro definition because the first MEND encountered in the inner macro will terminate the whole macro definition process.
 - To solve this problem, a counter LEVEL is used to keep track of the level

of macro definitions.

- Increase LEVEL by 1 each time a MACRO directive is read. Decrease LEVEL by 1 each time a MEND directive is read.
- A MEND can terminate the whole macro definition process only when LEVEL reaches 0.
- This process is very much like matching left and right parentheses when scanning an arithmetic expression.

4.3.3 Two-Pass Macro Processor

- Two-pass macro processor
 - Pass 1:
Process macro definition
 - Pass 2:
Expand all macro invocation statements
- Problem
 - This kind of macro processor cannot allow recursive macro definition, that is, the body of a macro contains definitions of other macros (because all macros would have to be defined during the first pass before any macro invocations were expanded).

Example of Recursive Macro Definition

- MACROS (for SIC)
 - Contains the definitions of RDBUFF and WRBUFF written in SIC instructions.
- MACROX (for SIC/XE)
 - Contains the definitions of RDBUFF and WRBUFF written in SIC/XE instructions.
- A program that is to be run on SIC system could invoke MACROS whereas a program to be run on SIC/XE can invoke MACROX.
- Defining MACROS or MACROX does not define RDBUFF and WRBUFF. These definitions are processed only when an invocation of MACROS or MACROX is expanded.


```

1  MACROS  MACRO    {Defines SIC standard version macros}
2  RDBUFF  MACRO    &INDEV, &BUFADR, &RECLTH
      .
      .    {SIC standard version}
      .
3      MEND    {End of RDBUFF}
4  WRBUFF  MACRO    &OUTDEV, &BUFADR, &RECLTH
      .
      .    {SIC standard version}
      .
5      MEND    {End of WRBUFF}
      .
      .
6      MEND    {End of MACROS}

```

```

1  MACROX  MACRO    {Defines SIC/XE macros}
2  RDBUFF  MACRO    &INDEV, &BUFADR, &RECLTH
      .
      .    {SIC/XE version}
      .
3      MEND    {End of RDBUFF}
4  WRBUFF  MACRO    &OUTDEV, &BUFADR, &RECLTH
      .
      .    {SIC/XE version}
      .
5      MEND    {End of WRBUFF}
      .
      .
6      MEND    {End of MACROX}

```

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4.3.4 General-Purpose Macro Processors

Goal

- Macro processors that do not depend on any particular programming language, but can be used with a variety of different languages.

Advantages

- Programmers do not need to learn many macro languages.
- Although its development costs are somewhat greater than those for a language-specific macro processor, this expense does not need to be repeated for each language, thus saving substantial overall cost.

Disadvantages

- Large number of details must be dealt with in a real programming language
- Situations in which normal macro parameter substitution should not occur, e.g., comments.
- Facilities for grouping together terms, expressions, or statements
- Tokens, e.g., identifiers, constants, operators, keywords
- Syntax

4.3.5 Macro Processing within Language Translators

Macro processors can be

1) Preprocessors

- o Process macrodefinitions.
- o Expand macroinvocations.
- o Produce an expanded version of the source program, which is then used as input to an assembler or compiler.

2) Line-by-line macro processor

- o Used as a sort of input routine for the assembler or compiler.
- o Read source program.
- o Process macro definitions and expand macro invocations.
- o Pass output lines to the assembler or compiler.

3) Integrated macro processor

4.3.5.1 Line-by-Line Macro Processor

Benefits

- It avoids making an extra pass over the source program.
- Data structures required by the macro processor and the language translator can be combined (e.g., OPTAB and NAMTAB)
- Utility subroutines can be used by both macro processor and the language translator.
 - Scanning input lines
 - Searching tables
 - Data format conversion
- It is easier to give diagnostic messages related to the source statements.

4.3.5.2 Integrated Macro Processor

- An integrated macro processor can potentially make use of any information about the source program that is extracted by the language translator.
- As an example in FORTRAN DO 100 I = 1,20
 - a DO statement
 - DO: keyword
 - 100: statement number
 - I: variable name
 - DO 100 I = 1
 - An assignment statement
 - DO100I: variable (blanks are not significant in FORTRAN)
- An integrated macro processor can support macro instructions that depend upon the context in which they occur.

Drawbacks of Line-by-line or Integrated Macro Processor

- They must be specially designed and written to work with a particular implementation of an assembler or compiler.
- The cost of macro processor development is added to the costs of the language translator, which results in a more expensive software.
- The assembler or compiler will be considerably larger and more complex.

4.4 IMPLEMENTATION EXAMPLE

4.4.1 MASM Macro Processor

- Conditional assembly statements
- MASM macro
- Conditional statements

4.4.2 ANSI C Macro language

- Macro definitions with parenthesis
- Nested macro invocation.
- Macro expansion with parenthesis
- Conditional compilation statements

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TUTORIAL – I:

Topic: SIC, SIC / XE: Data Movement Operation

1. Write a sequence of instructions to store the data value 8 in the memory location ALPHA (for SIC and SIC/XE)

SIC:

```
LDA      EIGHT
STA      ALPHA
.....
ALPHA    RESW      1
EIGHT    WORD      8
```

SIC/XE:

```
LDA      #8
STA      ALPHA
.....
ALPHA    RESW      1
```

2. Write a sequence of instructions to store the character "A" in the memory location BETA (for SIC and SIC/XE)

SIC:

```
LDCH     CHAR A
STCH     BETA
.....
CHARA    BYTE      'A'
BETA     RESB      1
```

SIC/XE:

```
LDA      #65

STCH     BETA

.....

BETA     RESB      1
```

3. Write a sequence of instructions to store the data value 2 and character X in the memory location (for SIC and SIC/XE)

SIC:

```
LDA      TWO      Load 2 into A
STA      ALPHA    Store in ALPHA
LDCH     CHARX    Load character 'X' into A
STCH     C1       Store in C1
.
.
ALPHA    RESW      1  one word variable
TWO      WORD      2  one word constant
CHARX    BYTE      'X' one byte constant
C1       RESB      1  one byte variable
```

SIC/XE

```
LDA      #2       Load 2 into A
STA      ALPHA    Store in ALPHA
LDCH     #88      Load character 'X' into A
STCH     C1       Store in C1
.
.
ALPHA    RESW      1  one word variable
C1       RESB      1  one byte variable
```

TUTORIAL – II:

Topic: SIC , SIC / XE : Arithmetic operation

- 1. Write a sequence of instructions for SIC to ALPHA equal to the product of BETA and GAMMA. Assume that ALPHA, BETA and GAMMA are defined as one word**

Assembly Code:

```
LDA    BETA
MUL    GAMMA
STA    ALPHA
....
```

```
ALPHA  RESW 1
BETA    RESW    1
GAMMA  RESW    1
```

- 2. Write a sequence of instructions for SIC/XE to set ALPHA equal to $4 * BETA - 9$. Assume that ALPHA and BETA are defined as one word. Use immediate addressing for the constants.**

Assembly Code

```
LDA    BETA
LDS    #4
MULR   S,A
SUB    #9
STA    ALPHA
.....
```

```
ALPHA  RESW 1
BETA    RESW 1
```

3. Write a sequence of instructions for SIC to set ALPHA equal to the integer portion of $BETA \div GAMMA$. Assume that ALPHA and BETA are defined as one word.

Assembly Code:

```
LDA      BETA
DIV      GAMMA
STA      ALPHA
....
ALPHA    RESW      1
BETA     RESW      1
GAMMA    RESW      1
```

4. Write a sequence of instructions for SIC/XE to divide BETA by GAMMA, setting ALPHA to the integer portion of the quotient and DELTA to the remainder. Use register-to-register instructions to make the calculation as efficient as possible.

Assembly Code:

```
LDA      BETA
LDS      GAMMA
DIVR     S, A
STA      ALPHA
MULR     S, A
LDS      BETA
SUBR     A, S
STS      DELTA
....
ALPHA    RESW      1
BETA     RESW      1
GAMMA    RESW      1
DELTA    RESW      1
```


TUTORIAL – III:

Topic: SIC Looping, Indexing

1. Suppose that ALPHA is an array of 100 words, which is defined as 100 words.

Write a sequence of instructions for SIC to set all 100 elements of the array to 0.

Assembly Code:

```

                                LDA      ZERO
                                STA      INDEX
LOOP   LDX      INDEX
                                LDA      ZERO
                                STA      ALPHA, X
                                LDA      INDEX
                                ADD      THREE
                                STA      INDEX
                                COMP     K300
                                TIX      TWENTY
                                JLT      LOOP
                                ....
INDEX   RESW     1
ALPHA   RESW     100
                                ....
ZERO    WORD     0
K300    WORD     100
THREE   WORD     3
```

2. Write SIC instructions to swap the values of ALPHA and BETA.

Assembly Code:

```
LDA      ALPHA
STA      GAMMA
LDA      BETA
STA      ALPHA
LDA      GAMMA
STA      BETA
....
ALPHA    RESW      1
BETA     RESW      1
GAMMA    RESW      1
```

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TUTORIAL – IV:

Topic: SIC / XE Looping

1. Write a sequence of instructions for SIC/XE to clear a 20-byte string to all blanks.

Assembly Code:

```
LDX    ZERO
LOOP   LDCH BLANK
STCH   STR1,X
TIX    TWENTY
JLT    LOOP
:
:
STR1   RESW    20
BLANK  BYTE    C
ZERO   WORD    0
TWENTY WORD    20
```

2. Write a sequence of instructions for SIC/XE to clear a 20-byte string to all blanks. Use immediate addressing and register-to-register instructions to make the process as efficient as possible.

Assembly Code:

```
LDT    #20
LDX    #0
LOOP   LDCH #0
STCH   STR1,X
TIXR   T
JLT    LOOP
:
:
STR1   RESW    20
```

TUTORIAL – V:

Topic: SIC / XE Indexing

1. Suppose that ALPHA is an array of 100 words, (Alpha is 100 word). Write a sequence of instructions for SIC/XE to set all 100 elements of the array to 0. Use immediate addressing and register-to-register instructions to make the process as efficient as possible.

Assembly Code:

```
                LDS      #3
                LDT      #300
                LDX      #0
LOOP           LDA      #0
                STA      ALPHA, X
                ADDR     S, X
                COMPR    X, T
                JLT      LOOP
                ....
ALPHA          RESW     100\
```

2. Suppose that ALPHA and BETA are the two arrays of 100 words. Another array of GAMMA elements are obtained by multiplying the corresponding ALPHA element by 4 and adding the corresponding BETA elements.

Assembly Code:

```
                LDS      #3
                LDT      #300
                LDX      #0
ADDLOOP       LDA      ALPHA, X
                MUL      #4
                ADD      BETA, X
                STA      GAMMA, X
                ADDR     S, X
```

	COMPR	X, T
	JLT	ADDLOOP
	
ALPHA	RESW	100
BETA	RESW	100
GAMMA	RESW	100

3. Suppose that ALPHA is an array of 100 words. Write a sequence of instructions for SIC/XE to find the maximum element in the array and store results in MAX.

Assembly Code:

	LDS	#3
	LDT	#300
	LDX	#0
CLOOP	LDA	ALPHA, X
	COMP	MAX
	JLT	NOCH
	STA	MAX
NOCH	ADDR S, X	
	COMPR	X, T
	JLT	CLOOP
	
ALPHA	RESW	100
MAX	WORD	-32768

TUTORIAL – VI:

Topic: SIC, SIC / XE : I/O Programming

1. Suppose that **RECORD** contains a 100-byte record. Write a subroutine for **SIC** that will write this record on to device **05**.

Assembly Code:

```
                JSUB      WRREC
                :
                :
WRREC          LDX      ZERO
WLOOP         TD       OUTPUT
                JEQ      WLOOP
                LDCH     RECORD, X
                WD       OUTPUT
                TIX     LENGTH
                JLT     WLOOP
                RSUB
                :
                :
ZERO          WORD     0
LENGTH       WORD     1
OUTPUT       BYTE     X '05'
RECORD      RESB     100
```

2. Write a subroutine for **SIC/XE** that will read a record into a buffer. The record may be any length from 1 to 100 bytes. The end of record is marked with a “null” character (ASCII code 00). The subroutine should place the length of the record read into a variable named **LENGTH**. Use immediate addressing and register-to-register instructions to make the process as efficient as possible.

Assembly Code:

```
                JSUB     RDREC
                :
```

	:	
RDREC	LDX	#0
	LDT	#100
	LDS	#0
RLOOP	TD	INDEV
	JEQ	RLOOP
	RD	INDEV
	COMPR	A, S
	JEQ	EXIT
	STCH	BUFFER, X
	TIXR	T
	JLT	RLOOP
EXIT	STX	LENGTH
	RSUB	
	:	
	:	
INDEV	BYTE	X 'F1'
LENGTH	RESW	1
BUFFER	RESB	100

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TUTORIAL – VII:

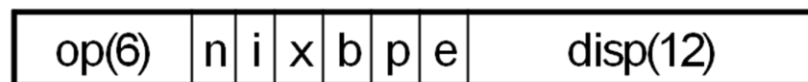
Topic: Object Code Translation

1. Obtain the object code for the instructions in the following lines in the program sequence:

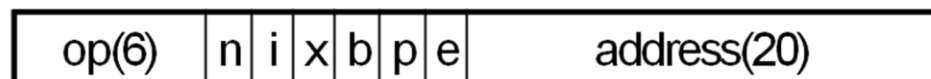
- ✓ Line 10
- ✓ Line 12
- ✓ Line 15
- ✓ Line 40
- ✓ Line 55

5	0000	COPY	START	0	
10	0000	FIRST	STL	RETADR	17202D
12	0003		LDB	#LENGTH	69202D
13			BASE	LENGTH	
15	0006	CLOOP	+JSUB	RDREC	4B101036
20	000A		LDA	LENGTH	032026
25	000D		COMP	#0	290000
30	0010		JEQ	ENDFIL	332007
35	0013		+JSUB	WRREC	4B10105D
40	0017		J	CLOOP	3F2FEC
45	001A	ENDFIL	LDA	EOF	032010
50	001D		STA	BUFFER	0F2016
55	0020		LDA	#3	010003
60	0023		STA	LENGTH	0F200D
65	0026		+JSUB	WRREC	4B10105D
70	002A		J	@RETADR	3E2003
80	002D	EOF	BYTE	C'EOF'	454F46
95	0030	RETADR	RESW	1	
100	0033	LENGTH	RESW	1	
105	0036	BUFFER	RESB	4096	

Format 3



Format 4



- Line 10: STL=14, n=1, i=1 → ni=3, op+ni=14+3=17, RETADR=0030, x=0, b=0, p=1, e=0 → xbpe=2, PC=0003, disp=RETADR-PC=030-003=02D, xbpe+disp=202D, obj=17202D

- Line 12: LDB=68, n=0, i=1 → ni=1, op+ni=68+1=69, LENGTH=0033, x=0, b=0, p=1, e=0 → xbpe=2, PC=0006, disp=LENGTH-PC=033-006=02D, xbpe+disp=202D, obj=69202D
- Line 15: JSUB=48, n=1, i=1 → ni=3, op+ni=48+3=4B, RDREC=01036, x=0, b=0, p=0, e=1, xbpe=1, xbpe+RDREC=101036, obj=4B101036
- Line 40: J=3C, n=1, i=1 → ni=3, op+ni=3C+3=3F, CLOOP=0006, x=0, b=0, p=1, e=0 → xbpe=2, PC=001A, disp=CLOOP-PC=0006-001A=-14=FEC(2's complement), xbpe+disp=2FEC, obj=3F2FEC
- Line 55: LDA=00, n=0, i=1 → ni=1, op+ni=00+1=01, disp=#3 → 003, x=0, b=0, p=0, e=0 → xbpe=0, xbpe+disp=0003, obj=010003

2. Obtain the object code for the instructions in the following lines in the program sequence:

- ✓ Line 125
- ✓ Line 133
- ✓ Line 160

```

110      .
115      .      SUBROUTINE TO READ RECORD INTO BUFFER
120      .
125      1036      RDREC      CLEAR      X      B410
130      1038      CLEAR      A      B400
132      103A      CLEAR      S      B440
133      103C      +LDT      #4096      75101000
135      1040      RLOOP      TD      INPUT      E32019
140      1043      JEQ      RLOOP      332FFA
145      1046      RD      INPUT      DB2013
150      1049      COMPR      A,S      A004
155      104B      JEQ      EXIT      332008
160      104E      STCH      BUFFER,X      57C003
165      1051      TIXR      T      B850
170      1053      JLT      RLOOP      3B2FEA
175      1056      EXIT      STX      LENGTH      134000
180      1059      RSUB      4F0000
185      105C      INPUT      BYTE      X'F1'      F1
195      .

```

op(8)	r1(4)	r2(4)
-------	-------	-------

- Line 125: CLEAR=B4, r1=X=1, r2=0, obj=B410
- Line 133: LDT=74, n=0, i=1 → ni=1, op+ni=74+1=75, x=0, b=0, p=0, e=1 → xbpe=1, #4096=01000, xbpe+address=101000, obj=75101000
- Line 160: STCH=54, n=1, i=1 → ni=3, op+ni=54+3=57, BUFFER=0036, B=0033, disp=BUFFER-B=003, x=1, b=1, p=0, e=0 → xbpe=C, xbpe+disp=C003, obj=57C003

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TUTORIAL – VIII:

Topic: Object Code generation for SIC program

1. Generate the object code for the following SIC source program.

```
SUM    START    1000

FIRST  LDX      ZERO

        LDA      ZERO

LOOP   ADD      TABLE,X

        TLX      COUNT

        JLT      LOOP

        STA      TOTAL

        RSUB

TABLE  RESW     2328

COUNT RESW     1

ZERO   WORD    0

TOTAL  RESW     1

END    FIRST
```

LABEL	OPERATION	OPERAND	OPCODE
SUM	START	1000	
FIRST	LDX	ZERO	04 3340
LDA	ZERO		00 3340
LOOP	ADD	TABLE,X	18 9015
TLX	COUNT		2C 333D

JLT	LOOP		38 1006
STA	TOTAL		0C 3343
RSUB			4C 0000
TABLE	RESW	2328	
COUNT	RESW	1	
ZERO	WORD	0	000000
TOTAL	RESW	1	
END	FIRST		

3000*3=9000
IN DECIMAL

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TUTORIAL – IX:

Topic: Object Code generation for SIC / XE program

1. Generate the object code for the following SIC / XE source program.

```
SUM          START      0
FIRST       LDX         #0
            LDA         #0
            +LDB        #TABLE 32
LOOP        ADD         TABLE,X
            ADD         TABLE2,X
            TLX         COUNT
            JLT         LOOP
            +STA        TOTAL
            STA         @TOTAL
            RSUB
COUNT      RESW        1
TABLE       RESW        2328
TABLE2      RESW        2328
TOTAL       RESW        1
            END FIRST
```

LABEL	OPERATION	OPERAND	OPCODE
SUM	START	0	
FIRST	LDX	#0	04 050000

```

        LDA                #0                00 010000
        +LDB              #TABLE 32        68 69
10234B
LOOP    ADD                TABLE,X        18 113A0
16
        ADD                TABLE2,X      18
1BC000
        TLX                COUNT          2C
217200D
        JLT                LOOP           38 3B2FF4
        +STA              TOTAL          OC
OF104673
        STA                @TOTAL        WOR
        RSUB              4C
4FO000
COUNT RESW                1
TABLE   RESW              2328
TABLE2  RESW              2328
TOTAL   RESW                1
END     FIRST

```

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TUTORIAL – X:

Topic: Loader

1. What is the difference between given set of codes?

SET – I

LDA LENGTH

SUB #1

SET – II

LDA LENGTH-1

0033 LENGTH WORD

If length is defined by address 0033 with the value 5. The result of the given statement is:

- a. A is loaded with value 5 and subtracted by 1, thus giving the result 4.
- b. A is loaded with value defined in location 0032

2. Find the object code for the program that has to be loaded into the memory.

LOCATION	SOURCE STATEMENT
0000	COPY START 0000
0000	FIRST STL RETADR
0003	LDB #LENGTH
-	BASE LENGTH
0006	CLOOP +JSUB RDREC
000A	LDA LENGTH
000D	COMP #0
0010	JEQ ENDFIL

0013	+JSUB	WRREC	
0017	J	CLOOP	
001A	ENDFIL =C'EOF'	LDA	
-	LTORG		
0020	STA	BUFFER,X	
0023	LDA	#3	
0026	STA	LENGTH	
0029	RETADR	RESW	1
002C	LENGTH	RESW	1
002F	BUFFER	RESB	4096
-	END	FIRST	

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Solution:

LOCATION	SOURCE STATEMENT	OBJECT CODE
0000	COPY START 0000	-
0000	FIRST STL RETADR	172026
0003	LDB #LENGTH	692026
-	BASE LENGTH	-
0006	CLOOP +JSUB RDREC	4B5100A
000A	LDA LENGTH	03201F
000D	COMP #0	290000
0010	JEQ ENDFIL	332007
0013	+JSUB WRREC	4B51031
0017	J CLOOP	3F2FEC
001A	ENDFIL LDA =C'EOF'	032003
-	LTORG	-
0020	STA BUFFER,X	0FC003
0023	LDA #3	030003
0026	STA LENGTH	0F2003
0029	RETADR RESW 1	-
002C	LENGTH RESW 1	-
002F	BUFFER RESB 4096	-
-	END FIRST	-

TUTORIAL – XI:

Topic: Loader

1. Write a sequence of instructions for SIC/XE to divide BETA by GAMMA, setting ALPHA to the value of the quotient, rounded to the nearest integer. Use register-to-register instructions to make the calculation as efficient as possible

```
                LDF      BETA
                DIVF     GAMMA
                FIX
                STA      ALPHA
                ....
ALPHA          RESW     1
BETA           RESW     1
GAMMA         RESW     1
```

2. Write a subroutine for SIC that will read a record into a buffer. The record may be any length from 1 to 100 bytes. The end of record is marked with a “null” character (ASCII code 00). The subroutine should place the length of the record read into a variable named LENGTH.

```
                JSUB     RDREC
                ....
RDREC          LDX      ZERO
RLOOP         TD       INDEV
              JEQ      RLOOP
              RD       INDEV
              COMP     NULL
              JEQ      EXIT
              STCH     BUFFER, X
              TIX      K100
              JLT      RLOOP
EXIT          STX      LENGTH
              RSUB
              ....
ZERO         WORD     0
NULL        WORD     0
K100        WORD     1
```

INDEV	BYTE	X 'F1'
LENGTH	RESW	1
BUFFER	RESB	100

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TUTORIAL – XII:

Topic: Loader

1. Write a subroutine for SIC/XE that will read a record into a buffer. The record may be any length from 1 to 100 bytes. The end of record is marked with a “null” character (ASCII code 00). The subroutine should place the length of the record read into a variable named LENGTH. Use immediate addressing and register-to-register instructions to make the process as efficient as possible.

```

                                JSUB     RDREC
                                ....
RDREC    LDX     #0
          LDT     #100
          LDS     #0
RLOOP    TD      INDEV
          JEQ     RLOOP
          RD      INDEV
          COMPR   A, S
          JEQ     EXIT
          STCH    BUFFER, X
          TIXR   T
          JLT    RLOOP
EXIR     STX     LENGTH
          RSUB
          ....
INDEV    BYTE    X 'F1'
LENGTH   RESW    1
BUFFER   RESB    100
```

2. Generate the object code given for loading during load time SIC/XE program

```
EXAMPLE    START    100
            LDA      #12      LOAD 12 INTO REG A
            ADD      #7       ADD 7 TO REG A
```

	J	STORE	STORE A IN MEMORY
SAVA	RESW	10	
STORE	STA	SAVA	
	RSUB	RETURN	
	END	EXAMPLE	

The object code is as follows:

Loc	code			
		EXAMPLE	START	100
0100	01000C		LDA	#12
0103	190007		ADD	#7
0106	0F2003		J	STORE
0109		SAVA	RESW	10
0127	0F2FDF	STORE	STA	SAVA
012A	4F0000	RSUB		
		END	EXAMPLE	

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TUTORIAL – XIII:

Topic: Macro processor

1. Give the parameter table content when the macro given below is executed.

Source program

Macro definition

```
...                               ALPHA           MACRO ARG1, ARG2,
ARG3                               ...
ALPHA           A, 2, C
...
...                               ...
...                               ENDM
```

Parameter table

Dummy parameter	Real parameter
ARG1	A
ARG2	2
ARG3	C

2. Write a macro to add two integer numbers using SIC instruction set.

```
SUM   MACRO   ALPHA, BETA
      LDA     ALPHA
      ADD     BETA
```

3. Suppose we have the macro definition of ABSDIFF as

```
#define ABSDIFF(X,Y) X > Y ? X - Y : Y - X
#define DISPLAY(EXPR) printf("#EXPR "= %d\n", EXPR)
```

Expand the macro invocation

- a. DISPLAY(ABSDIFF(3-1, 9+3));
- b. If we execute the C program containing this statement, what output will be produced?

Solution:

- a. printf("ABSDIFF(3-1, 9+3)" "= %d\n", 3-1 > 9+3 ? 3-1 - 9+3 : 9+3 - 3-1);
- b. ABSDIFF(3-1, 9+3)= 8

4. Refer to the definition of RDBUFF that appears below. Each of the following macro invocation statements contains an error. Which of these errors would be detected by the macro processor, and which would be detected by the assembler?

- a. RDBUFF F3, BUF, RECL, ZZ
 - i. { illegal value specified for &EOR }
- b. RDBUFF F3, BUF, RECL, 04, 2048, 01
 - i. { too many arguments }
- c. RDBUFF F3, RECL, 04
 - i. { no value specified for &BUFADR }
- d. RDBUFF F3, RECL, BUF
 - i. { arguments specified in wrong order }

```

25  RDBUFF  MACRO  &INDEV, &BUFADR, &RECLTH, &EOR, &MAXLTH
26          IF    (&EOR NE '')
27  &EORCK  SET    1
28          ENDF
30          CLEAR X          CLEAR LOOP COUNTER
35          CLEAR A
38          IF    (&EORCK EQ 1)
40          LDCH  =X'&EOR'   SET EOR CHARACTER
42          RMO   A, S
43          ENDF
44          IF    (&MAXLTH EQ '')
45          +LDT  #4096      SET MAX LENGTH = 4096
46          ELSE
47          +LDT  #&MAXLTH   SET MAXIMUM RECORD LENGTH
48          ENDF
50  $LOOP   TD    =X'&INDEV'  TEST INPUT DEVICE
55          JEQ   $LOOP      LOOP UNTIL READY
60          RD    =X'&INDEV'  READ CHARACTER INTO REG A
63          IF    (&EORCK EQ 1)
65          COMPR A, S       TEST FOR END OF RECORD
70          JEQ   $EXIT      EXIT LOOP IF EOR
73          ENDF
75          STCH  &BUFADR, X  STORE CHARACTER IN BUFFER
80          TIXR  T          LOOP UNLESS MAXIMUM LENGTH
85          JLT   $LOOP      HAS BEEN REACHED
90  $EXIT   STX   &RECLTH   SAVE RECORD LENGTH
95          MEND

```

(a)

Solution:

1. Assembler will complain that the value is not a legal hexadecimal number.
2. Macro processor will detect that there are too many arguments.
3. Assembler will complain about a syntax error on line 75 "STCH ,X". Note that a macro processor simply replaces "&BUBADR" with an empty string. See the example in Figure above.
4. None: Syntax is correct, but there will be a run-time error.

TUTORIAL – XIV:

Topic: Macro processor

1. Write a macro to multiply two one-byte fields.

```
MPYBYTE  MACRO    BYTE1,BYTES,PRODUCTER1
;Define Macro to multiply two
;one-                byte fields
MOV  AL,BYTE1      ;Move multiplicand into AL
MUL  BYTES        ;Product is stored in AX
MOV  PRODUCTER1,AX ;Stores the resulting product in
product
ENDM              ;End of Macro
```

2. Write a macro to multiply two one-word fields.

```
MPYWORD  MACRO    WORD1,WORD2,PRODUCT,PRODUCT
;Define Macro to multiply two one-word
;fields
MOV  AX,WORD1     ;Move the multiplicand into AX
MUL  WORD2       ;Product is stored in DX:AX
MOV  PRODUCT,AX  ;Store product upper half AX
MOV  PRODUCT+2,DX ;lower half DX
ENDM             ;End of Macro
```

TUTORIAL – XV:

Topic: Macro processor

1. Write a macro to display a string of characters

```
PUTCHAR      MACRO      CHAR      ;CHAR IS THE
ARGUMENT
MOV          AH,2
MOV          DL,CHAR
INT         21H
ENDM

DISPLAYSTR   MACRO      STR, LNG   ;ARGUMENTS ARE
OFFSET AND   ; LENGTH OF STRING TO
              ; BE DISPLAYED
LOCAL       TOP
MOV         SI,0   ;INDEX OF NEXT CHARACTER IN
              STRING
MOV         CX,LNG
TOP:        PUTCHAR  STR[SI]      ;OUTPUT CURRENT
CHARACTER   INC     SI           ;POINT TO NEXT
CHARACTER   LOOP   TOP          ;REPEAT
              ENDM
```

2. Write a macro to determine absolute value and expand it with an example

```
ABS      MACRO  X
          CMP   X,0
          JGE  DONE
          NEG  X ;REVERSE SIGN IF NEGATIVE
DONE:
          ENDM
```

Let's use this macro in the following code (source and expansion):

```
...
MOV   AX,-5
ABS   AX ;x will be replaced by AX
      Cmp   AX,0
      Jge  done
      Neg  AX
Done:
...
...
```

```
MOV    BL,2
ABS    BL          ;x will be replaced by BL
        CMP    BL,0
        JGE    DONE
        NEG    BL
```

```
Done:
label!!    ...    ;*** this is an error -- done is a duplicate
```

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